

**1 Bottom-slope-induced net sheet-flow sediment**  
**2 transport rate under sinusoidal oscillatory flows**

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**Key Points.**

(Type in Key Points Here)

3 **Abstract.** It is generally believed that the slope of beaches can lead to  
4 a net downslope (usually offshore) sediment transport rate under shoaling  
5 waves, but very few high-quality measurements have been reported for a quan-  
6 titative understanding of this phenomenon. In this study, full-scale (1:1) ex-  
7 periments of bottom-slope-induced net sheet-flow sediment transport rate  
8 under sinusoidal oscillatory flows are conducted using a tilting oscillatory wa-  
9 ter tunnel. The tests cover a variety of flow-sediment conditions on bottom  
10 slopes up to  $2.6^\circ$ . A laser-based bottom profiler system is developed for mea-  
11 suring net transport rate based on the principle of mass conservation. Ex-  
12 perimental results suggest that for a given flow-sediment condition the net  
13 transport rate is in the downslope direction and increases linearly with bot-  
14 tom slope. A conceptual model is presented based on the idea that gravity  
15 helps bottom shear stress drive bedload transport and consequently enhances  
16 (reduces) bedload transport and suspension when the flow is in the downs-  
17 lope (up-slope) direction. The model predicts both the measured net sedi-  
18 ment transport rates and the experimental linear relationship between net  
19 transport rates and bottom slope with an accuracy generally better than a  
20 factor of 2. Some measured net transport rates in this study are compara-  
21 ble to those due to flow skewness obtained in similar sheet-flow studies, de-  
22 spite that our maximum slope could be milder than the actual bottom slope  
23 in surf zones, where sheet-flow conditions usually occur. This shows that the

<sup>24</sup> slope effect may be as important as wave nonlinearity in producing net cross-  
<sup>25</sup> shore sheet-flow sediment transport.

## 1. Introduction

26 In the coastal environment shoaling waves are the major drivers for sediment transport  
27 in the cross-shore direction, which is critical for understanding morphological evolution  
28 of beach profiles. Wave boundary layers are usually approximated by sinusoidal oscillatory  
29 flows with symmetric half-periods, so on a horizontal bottom a zero net cross-shore  
30 sediment transport (CSST) should always be expected. Thus, a net CSST must be due  
31 to some secondary factors that can induce a slight imbalance between the onshore and  
32 offshore half-periods.

33 Virtually all previous studies focused on the sheet-flow regime, i.e. under very intense  
34 flow conditions sediment transport takes place in a thin layer (the sheet-flow layer) above  
35 a dynamically flat movable bed. Two factors for net CSST under sheet-flow conditions  
36 have been extensively studied in the past: wave nonlinearity and cross-shore current. As  
37 waves propagate into shallow waters, their nonlinear features become significant and the  
38 associated oscillatory boundary layer flows exhibit asymmetry and skewness between the  
39 two half-periods. There are many studies focused on the effects of flow asymmetry and  
40 skewness on boundary layer flows [e.g. *van der A et al.*, 2011; *Gonzalez-Rodriguez and*  
41 *Madsen*, 2011; *Yuan and Madsen*, 2014] and sediment transport [e.g. *Ribberink and Al-*  
42 *Salem*, 1995; *Gonzalez-Rodriguez and Madsen*, 2007; *van der A et al.*, 2010]. Generally  
43 speaking, wave nonlinearity makes the flow during the onshore half-period stronger than  
44 that during the offshore half-period, so a net onshore CSST is produced. However, detailed  
45 intra-wave measurements of velocities and suspended sediment concentrations [e.g. *van der*  
46 *Werf et al.*, 2007; *Ruessink et al.*, 2011] also suggest that for fine sands the phase lag

47 between sediment suspension and flow velocity can lead to a net offshore transport rate.  
48 In the coastal region, an offshore current (undertow) is established to balance the wave-  
49 associated onshore mass transport above the wave troughs, so the current-related net  
50 CSST is usually in the offshore direction. However, boundary layer streaming produced  
51 by wave propagation [e.g. *Longuet-Higgins*, 1953] and wave nonlinearity [e.g. *Trowbridge*  
52 *and Madsen*, 1984] can also significantly affect offshore currents and net CSST. Wave-  
53 current boundary layer flows have been extensively studied [e.g. *Grant and Madsen*, 1979;  
54 *Davies et al.*, 1988; *Holmedal et al.*, 2003], and some experimental results on net sediment  
55 transport rate under collinear wave-current flows have been reported, e.g. *McLean et al.*  
56 [2001] for currents plus sinusoidal oscillatory flows and *Dong et al.* [2013] for currents plus  
57 skewed oscillatory flows.

58 The sea bottom in coastal regions usually has a mild slope with the downslope direction  
59 being offshore. The gravity force parallel to the bottom reduces the critical bottom shear  
60 stress for the threshold of sediment motion and enhances the flow's ability to transport  
61 sediment in the downslope (offshore) direction. The opposite situation occurs in the ups-  
62 lope (onshore) direction, so a net downslope (offshore) transport rate is produced. Thus,  
63 besides wave nonlinearity and cross-shore current, bottom slope is another secondary fac-  
64 tor producing a net CSST. There are very few experimental studies focused on this topic,  
65 possibly because it is impossible to isolate the bottom-slope effect from the effects of wave  
66 nonlinearity and cross-shore current in wave flumes or wave tanks, since they will always  
67 co-exist when surface waves are propagating over a sloping bottom. This problem can  
68 be avoided if experiments are conducted using oscillatory water tunnels (OWT). These  
69 facilities are usually U-shaped tunnels with a piston located at one end of the tunnel

70 generating uniform oscillatory flows. If the facility can be tilted, it can produce purely si-  
71 nusoidal oscillatory flows on a sloping bottom, so the obtained net transport rate is solely  
72 due to the effect of bottom slope. *King* [1991] in his OWT study measured the average  
73 sediment transport rate under a half-period of sinusoidal flow on a sloping bottom. His  
74 experimental results showed that bottom slope increases (decreases) the half-period trans-  
75 port rate in the downslope (upslope) direction, so a net downslope transport rate under  
76 a full sinusoidal flow can be expected. However, approximating a sinusoidal flow by two  
77 separated half-period flows potentially distorts periodic unsteady effects. Furthermore,  
78 sediment suspension may not have sufficient time to reach the equilibrium state during a  
79 half-period. Thus, his experimental results may not be quantitatively reliable. It should  
80 also be noted that most of his experiments are not within the sheet-flow regime, so the  
81 results are not directly relevant to sheet-flow conditions. To the authors' knowledge, there  
82 are no similar OWT studies reported in the literature, so we do not have enough exper-  
83 imental evidence to assess the importance of bottom-slope effect on the net sheet-flow  
84 CSST.

85 Understanding the detailed physics of sheet-flow sediment transport requires a phase-  
86 resolving model that can predict the unsteady intra-period variation of boundary layer  
87 flow and sediment transport. There are two major approaches for developing such mod-  
88 els: the single-phase and the two-phase approaches. The two-phase approach is based on  
89 the multi-phase-flow theory, whereby the conservation principles of mass and momentum  
90 for both fluid and sediment phases are modeled separately, including the mutual inter-  
91 actions between phases. This approach can in principle provide a direct simulation of  
92 the sheet-flow layer, e.g. the suspension of sediments from the seabed can be directly

93 predicted. Existing two-phase models differ in their choices of turbulence-closure model,  
94 e.g. mixing-length theory [e.g. *Asano*, 1992], one-equation [e.g. *Li et al.*, 2008] and two-  
95 equation closures [e.g. *Amoudry et al.*, 2008]. Closure models are also required for the  
96 interactions between the two phases and the stress terms that arise from the averaging  
97 process for both phases. Therefore, model performance depends heavily on the chosen  
98 closure models, especially the turbulence-closure model [see *Amoudry*, 2012]. The single-  
99 phase approach adopts the conventional way to predict sediment transport, i.e. splitting  
100 the total sediment transport into bedload and suspended-load. The suspended sediment  
101 particles are assumed to move with the fluid, except for the settling velocity, so sediment  
102 suspension is predicted by solving the turbulent-diffusion equation with an empirical bot-  
103 tom boundary condition, e.g. a reference concentration. This approach is at a lower level  
104 of complexity than the two-phase approach, so it requires much less computational re-  
105 sources. Nevertheless, the key physics for net sheet-flow sediment transport rate can still  
106 be captured for a variety of flow-sediment conditions, so single-phase models have been  
107 successfully applied to predict the net sheet-flow sediment transport rate due to boundary  
108 layer streaming [e.g. *Kranenburg et al.*, 2013; *Fuhrman et al.*, 2013] and flow asymmetry  
109 (velocity and acceleration skewness) [e.g. *Ruessink et al.*, 2009]. Since sheet flows occur in  
110 the close vicinity of the seabed, some studies assume that bedload transport dominates.  
111 This may not be true for fine-sand scenarios (diameter $\sim$  0.1mm), because the fine particles  
112 can be suspended further up into the water column, and the phase-lag effect becomes im-  
113 portant, i.e. the suspended fine particles cannot immediately settle down to the sand bed  
114 at the moment of flow reversal, which can even lead to a net suspended-load transport op-  
115 posing the net bedload transport. The suspension effect becomes increasingly significant

116 with the ratio,  $u_{*m}/w_f$ , where  $w_f$  is the sediment settling velocity and  $u_{*m}$  is the maxi-  
117 mum shear velocity within a wave period, which represents the flow's ability to suspend  
118 sediments. *Gonzalez-Rodriguez and Madsen* [2007] developed a conceptual model for net  
119 bedload transport rate under sheet-flow conditions. In this model, the intra-period varia-  
120 tion of bedload transport rate is predicted with the instantaneous bottom shear stress and  
121 the bedload formula proposed by *Madsen* [1991]. For cases with  $u_{*m}/w_f < 2.7$ , including  
122 some sheet-flow experiments under velocity-skewed waves (see their figure 9) and some  
123 half-period experiments (not within the sheet-flow regime) by *King* [1991] (see their fig-  
124 ure 7), the predictions are in good agreement with the measurements. This demonstrates  
125 the predictive ability of the bedload formula proposed by *Madsen* [1991], and also shows  
126 that it is appropriate to conceptualize sheet-flows as bedload for  $u_{*m}/w_f < 2.7$ . However,  
127 for cases with  $u_{*m}/w_f > 2.7$  (mostly fine-sand scenarios) the model performance is very  
128 poor (see their figures 7 and 12), indicating that the suspended-load becomes dominant.  
129 Therefore, a single-phase model for sheet-flow conditions must include both bedload and  
130 suspended-load components.

131 There are very few theoretical studies on bottom-slope-induced net sheet-flow sediment  
132 transport rate. *Madsen* [2002] presented a simple analytical formula for bottom-slope-  
133 induced net bedload transport rate for small slopes and strong wave conditions. Without  
134 reliable measurements, the validity of this theoretical model cannot be ascertained.

135 In this paper we present an OWT study of bottom-slope-induced net sediment transport  
136 rate in the sheet-flow regime, as well as a conceptual model, which includes a bedload  
137 module following the approach adopted by *Gonzalez-Rodriguez and Madsen* [2007] and  
138 a new suspended-load module. The outline of this paper is as follows. In section 2 we



139 present the experimental facility. Experimental conditions and data analysis methodology  
140 are discussed in section 3. Experimental results are presented in section 4. The conceptual  
141 model is presented and validated against experimental results in section 5. Conclusions  
142 are provided in section 6.

## 2. Experimental facility

### 2.1. Wave-Current-Sediment facility

143 In this study full-scale (1:1) experiments are conducted in the Wave-Current-Sediment  
144 (WCS) facility in the Hydraulic Engineering Lab of the Civil and Environmental Engi-  
145 neering Department at the National University of Singapore. The main part of the WCS  
146 is a 10 m-long, 50 cm-deep and 40 cm-wide enclosed test section with transparent sidewalls  
147 and lids along its entire length. A 20 cm-deep and 9 m-long trough in the test section is  
148 designed for holding sediments. Oscillatory flows are generated by a hydraulically-driven  
149 piston located in one of the two cylindrical 1 m-diameter risers attached to the two ends  
150 of the test section. The maximum flow velocity and acceleration in the test section are  
151 about 2 m/s and 2 m/s<sup>2</sup>, which are sufficiently high to create sheet-flow conditions. *Yuan*  
152 *and Madsen* [2014] showed that the system can very precisely generate the specified pis-  
153 ton motion and the cross-sectional average velocity predicted from the piston velocity is  
154 in excellent agreement with the actual free-stream velocity measured in the test section.  
155 The overall inaccuracy in generating the intended free-stream oscillatory flow in the WCS  
156 is assessed to be less than 1 cm/s, which is immaterial compared to the amplitudes of os-  
157 cillatory flows in this study ( $O(100\text{ cm/s})$ ), so it is not necessary to verify the free-stream  
158 flow with velocity measurements.

159 The entire facility is supported by a pivot and a hydraulic jack, so it can be tilted to  
 160 give a bottom slope up to approximately  $2.60^\circ$  or  $1/22$ . The tilting of the WCS can be  
 161 controlled with  $0.01^\circ$  accuracy by reading a digital slope meter mounted on the WCS, so  
 162 the bottom slope  $\beta$  can be obtained as

$$163 \quad \beta = \beta_0 + \Delta\beta \quad (1)$$

164 where  $\beta_0$  is the actual slope of the WCS with the slope meter's reading being  $0.00^\circ$ . To  
 165 determine  $\beta_0$ , we filled water into the test section with a flat movable bed, and used the  
 166 water depth difference (about 15 mm) between the two ends of the test section (9 m apart)  
 167 to get  $\beta_0 \approx 0.10^\circ$ .

## 2.2. Laser-based Bottom Profiler system

168 As will be elaborated in section 3.3, the net sediment transport rate in the WCS is  
 169 obtained based on the principle of sediment-volume conservation, which requires the mea-  
 170 surements of bottom profile change  $\Delta z$ , so a Laser-based Bottom Profiler (LBP) system is  
 171 developed to accurately measure  $\Delta z$ . The general concept of the LBP system is illustrated  
 172 in Figure 1a. Several laser-sheet units mounted above the test section introduce vertical  
 173 red laser sheets into the test section through the transparent lids, creating a continuous  
 174 laser line on the movable bed in the test section's longitudinal direction. Digital cameras  
 175 capture images of the laser line in a dark environment through the large sidewall viewing  
 176 windows, so the images show red laser lines on a black background, which can be used to  
 177 locate the laser line. By comparing images before ( $t = t_0$ ) and after ( $t = t_1$ ) a test, the ver-  
 178 tical displacement of the laser lines,  $\Delta Z(X)$ , is obtained in pixels (see Figure 1b), where  $X$   
 179 is the longitudinal image coordinate ( $X = 0$  is at image's left edge). With predetermined

180 calibration parameters  $\Delta Z(X) = Z(x, t_1) - Z(x, t_0)$  can be translated into a longitudinal  
181 profile of bottom elevation change  $\Delta z(x)$  in millimeters, where  $x$  is the longitudinal coor-  
182 dinate of the test section. Since some variation of  $\Delta z(x)$  across the width of the channel is  
183 inevitable, we produce two continuous laser lines located symmetrically around the lateral  
184 centerline. The average of the two profiles is taken as the final measurement.

185 To produce two laser lines covering the 9 m-long test section, 24 laser units, each covering  
186 a 75-cm segment of bottom, are carefully positioned and mounted on two laser support  
187 beams placed on top of the truss carrying the WCS (Figure 1c). Each unit's actual  
188 coverage is a bit longer than 75 cm to allow a 1-4 cm overlap between adjacent laser-  
189 line segments. Six Nikon D5200 cameras (resolution 6000-by-4000 pixels) are carefully  
190 mounted with a uniform  $150(\pm 0.1)$  cm spacing on a camera support beam (CSB) parallel  
191 to the WCS, so each camera will cover a 150-cm part of the test section (Figure 1c). The  
192 CSB is located about 100 cm horizontally and 70 cm vertically from the lateral centerline  
193 of the movable bed, leading to a roughly  $30^\circ$  viewing angle for the cameras.

194 The raw digital images are first rectified and enhanced using Adobe Photoshop CS6  
195 to remove perspective distortions and any significant ambient noise on the black image  
196 background. The laser line on a digital image is a red band (20 to 40 pixels wide) with the  
197 digital redness value (from 0 to 255 with 0 being black and 255 being red) across the red  
198 band decaying toward the edges in a manner resembling a normal distribution. Following  
199 *Yuan and Madsen* [2014], we perform normal-distribution fitting to the cross-band redness  
200 variation for each  $X$ -location and take the fitted peak as the position of the laser line  $Z(X)$   
201 (in pixels). Based on some targets with known dimensions drawn on the front sidewall  
202 of the WCS, we first obtain the horizontal and vertical calibration parameters for the

203 vertical plane of the WCS' front sidewall. We then translate them into those for the  
204 vertical planes of the two laser lines using pre-calibrated empirical formulas. For each  
205 camera the region outside its intended coverage, i.e. 150 cm (or  $\pm 75$  cm horizontally  
206 from the image's vertical centerline), is removed, and the remaining measurements are  
207 combined to give the 9-m-long longitudinal profile of  $\Delta z(x)$  over the entire movable bed.

208 A preliminary test was conducted to test the accuracy of the LBP system. In this test,  
209 the bottom profile change of an untouched sand bed, which should be zero everywhere, was  
210 measured with the LBP. The obtained  $\Delta z$  is essentially a random noise with a standard  
211 deviation of  $O(0.1 \text{ mm})$  and a zero mean value. This suggests that the LBP is able to  
212 measure bottom profile changes with a 0.1 mm inaccuracy, which is comparable to the  
213 diameter of fine sands used in this study. In another preliminary test, an artificial bottom  
214 profile change was produced by gluing "ripples" with known geometry (plastic shells cut  
215 from circular pipes) onto a flat bottom, and the LBP accurately obtained this bottom  
216 profile change with a 0.1 mm inaccuracy.

217 The transparent lids of the WCS allow us to apply the LBP without removing the lids,  
218 so we are able to measure bottom profile change even during an ongoing experiment,  
219 except when sediment suspension is so significant that the laser sheets cannot reach the  
220 bottom. This feature, although not used in this study, is a key advantage of the LBP,  
221 since it allows continuous measurements of bedform development in the test section. The  
222 CSB is pivoted co-axially with the WCS, and both are equipped with slope meters with  
223 an accuracy of  $0.01^\circ$ , so the CSB and the WCS can be tilted in unison, enabling easy use  
224 of the LBP for a sloping WCS.

### 3. Experimental conditions and data analysis methodology

#### 3.1. Sediment characteristics

225 Three types of well-sorted sands are used in this study, and they are referred to as fine  
 226 ( $d_{50} = 0.13\text{mm}$ ), medium ( $d_{50} = 0.24\text{mm}$ ), and coarse ( $d_{50} = 0.51\text{mm}$ ) sands hereafter.  
 227 Their characteristics are summarized in Table 1. The sediment diameter and particle-  
 228 size distribution are obtained using the Mastersizer 2000 laser particle analyzer. The  
 229 uniformity of sediment particle composition is characterized by the geometric standard  
 230 deviation

$$231 \quad \sigma_g = \frac{1}{2} \left( \frac{d_{84}}{d_{50}} + \frac{d_{50}}{d_{16}} \right) \quad (2)$$

232 where  $d_{84}$  and  $d_{16}$  are particle diameters for which 84% and 16%, respectively, of the  
 233 sediment sample are finer. The obtained  $\sigma_g$  is less than 1.5 (Table 1), and is comparable  
 234 to the values of well-sorted sands used in similar studies [e.g. *O'Donoghue and Wright,*  
 235 2004]. The specific density,  $s$ , of the sands is measured using the density-bottle method.  
 236 The obtained values are very close to the standard value, 2.65, used in engineering practice,  
 237 and their standard deviation is less than 1% of the average values (Table 1).

238 We carefully fill the 20 cm-deep trough of the test section with sand to create a 20  
 239 cm-thick and 9 m-long movable bed in the WCS. Before most tests, the movable bed  
 240 is flattened underwater using an aluminum scraper, so its surface layer is disturbed, and  
 241 should have a porosity close to the maximum underwater porosity,  $\epsilon_m$ , associated with the  
 242 loosest underwater compaction. Since it is difficult to obtain in-situ measurements of  $\epsilon_m$ ,  
 243 we conducted the following test to obtain an estimate of  $\epsilon_m$ . We first filled about 60 ml of  
 244 water into a 100 ml measuring tube (1 inch in diameter) with a 1 ml measuring accuracy,  
 245 and then slowly poured a small amount (a mass  $M_s$  of about 100 g) of oven-dried sands

246 into the tube. The sand layer, formed in the measuring tube with a flat and horizontal  
 247 surface, allowed us to read the bulk volume of sands  $V_s$ . This sand layer should have the  
 248 loosest compaction, and its porosity should be close to  $\epsilon_m$ . Thus,  $\epsilon_m$  is obtained from  
 249  $\epsilon_m = 1 - \rho_B/\rho_s$ , where  $\rho_s$  is the sand's density and  $\rho_B = M_s/V_s$  is the measured bulk  
 250 density. For each type of sand the measurement was repeated three times, and yielded  
 251 very consistent ( $\pm O(10^{-3})$ ) results for  $\epsilon_m$ . The  $\epsilon_m$ -values obtained (shown in Table 1) are  
 252 between  $0.4 \sim 0.5$  with the coarse sands having the highest value, 0.482, which is realistic  
 253 for well-sorted sands [see e.g. *Fetter*, 2000].

254 It should be pointed out that the change of porosity of a bed with  $\epsilon \approx \epsilon_m$  due to bed  
 255 compaction can be quite significant. To confirm this, a standard shake-table test was  
 256 performed as follows. About 1.8 kg oven-dried sand were slowly poured into a cylindrical  
 257 container and a 2-kg dead weight was applied on top of the sample. The container was  
 258 then shaken on a shaking table to gradually compact the sample until no significant change  
 259 of the sample's bulk volume was observed. During each test the sample quickly compacted  
 260 within the first few minutes and the reduction of porosity was about 0.1.

### 3.2. Test conditions

261 A summary of the tests conducted is provided in Table 2. In this study we only consider  
 262 sinusoidal oscillatory flows characterized by a free-stream velocity

$$263 \quad u_\infty(t) = U_{bm} \cos \omega t \quad (3)$$

264 where  $U_{bm}$  is the velocity amplitude and  $\omega = 2\pi/T$  is the angular frequency with  $T$  being  
 265 the period. According to *Madsen* [1993], sheet-flow conditions under periodic sinusoidal

266 waves are achieved if the following Shields parameter criterion is satisfied

$$267 \quad \psi_{wmd} = \frac{\tau_{wmd}}{\rho(s-1)gd_{50}} = \frac{f_{wd}U_{bm}^2}{2(s-1)gd_{50}} > 0.7 \quad (4)$$

268 where  $\tau_{wmd}$  is the maximum bottom shear stress based on a Nikuradse equivalent sand-  
 269 grain roughness  $k_N = d_{50}$ . The corresponding wave friction factor  $f_{wd}$  is obtained from  
 270 the wave friction factor formula given by *Humbyrd* [2012] (with  $k_N = d_{50}$ )

$$271 \quad f_w = \exp[5.70\left(\frac{A_{bm}}{k_N}\right)^{-0.101} - 7.46], \quad 10 < \frac{A_{bm}}{k_N} < 10^5 \quad (5)$$

272 Based on this criterion, we are able to choose three flow conditions for the medium-sand  
 273 bottom, two flow conditions for the fine-sand bottom but only one flow condition, which  
 274 is close to the design limit of the WCS, for the coarse-sand bottom. The values of  $U_{bm}$   
 275 in Table 2 are target values predicted from the specified piston motion, and it has been  
 276 demonstrated by *Yuan and Madsen* [2014] that these target values can be taken as the  
 277 actual free-stream velocities with an accuracy of the order 1 cm/s.

278 For each flow-sediment condition, tests are performed for five bottom slopes from  $0.10^\circ$   
 279 to  $2.60^\circ$ . Before most tests, the movable bed is flattened with the reading of slope meter  
 280 being  $0.00^\circ$ , and then tilted to the specified slope. Since the WCS and the CSB are tilted  
 281 in unison, the slope can be produced with the accuracy of slope meters placed on both of  
 282 them, i.e.  $0.01^\circ$ , and the flat bottom will appear to be horizontal on the camera images.  
 283 Similar to some previous sheet-flow experiments in oscillatory water tunnels, e.g. *van der*  
 284 *A et al.* [2010], our tests last for 20-50 periods. This test duration is long enough to  
 285 neglect initial conditions, i.e. the suspension of sediments reaches an equilibrium state  
 286 within 1-3 periods based on our visual observations, and is also short enough to avoid end  
 287 effects occupying the entire facility. Some tests are repeated to evaluate the repeatability

(see Table 2), and to estimate our experimental accuracy by evaluating the discrepancy. The last two columns in Table 2 refer to experimental results of net transport rate that will be discussed in section 4.3.

### 3.3. Determination of net sediment transport rates

There are mainly two different methods used in previous studies to obtain sediment transport rates in an OWT: trap-collection [e.g. *King*, 1991] and volume-conservation methods [e.g. *van der A et al.*, 2010]. For the trap-collection method, the difference between the volumes of sediments collected in traps located at the two ends of the test section is used to calculate the net transport rate. Although this method is convenient to apply, the measurements are strongly influenced by end effects, e.g. scour pits at the ends of the sand bed change local flow and sediment transport, which can penetrate into the test section by a distance of  $O(A_{bm})$ , where  $A_{bm}$  is the excursion amplitude of the free-stream oscillatory flow. The volume-conservation method, which avoids these “end problems”, is based on the principle of volume conservation as follows. The sediment transport rate  $q_s$  can be related to the change of bottom elevation  $z_b$  through

$$\frac{\partial q_s}{\partial x} = -(1 - \epsilon) \frac{\partial z_b}{\partial t} \quad (6)$$

where  $\epsilon$  is bed porosity,  $t$  is time and  $x$  is the longitudinal coordinate which is taken positive in the upslope direction in this study. Integrating equation (6) over  $x$  from the downslope end  $x = x_0$  gives an estimate of  $q_s(x, t)$  along the test section

$$q_s(x, t) = q_{s,0} - \int_{x_0}^x (1 - \epsilon) \frac{\partial z_b}{\partial t} dx \quad (7)$$

where  $q_{s,0}$  is an integral constant corresponding to the sediment transport rate through  $x = x_0$  at time  $t$ . Except for the initial stage of an experiment, the period-averaged



309 net transport rate should be in equilibrium, and therefore can be estimated by averaging  
 310 equation (7) over the test duration  $\Delta T$ , which gives

$$311 \quad q_{sd}(x) = -\frac{1-\epsilon}{\Delta T} \int_{x_0}^x \Delta z dx - \frac{V_0}{\Delta T b} \quad (8)$$

312 where  $q_{sd}(x)$  is net transport rate (subscript  $d$  indicates starting the integral from the  
 313 downslope end),  $V_0$  is the volume of sand collected from  $x < x_0$ ,  $b$  is the width of the  
 314 section,  $\Delta T$  is the test duration and  $\Delta z$  is the change of bottom elevation during an  
 315 experiment. Thus,  $q_{sd}(x)$  can be obtained from the measurement of  $\Delta z$ . In the region  
 316 sufficiently far from the two ends, the obtained  $q_{sd}(x)$  should be fairly uniform due to the  
 317 longitudinal uniformity of flow condition in the WCS, and this equilibrium net sediment  
 318 transport rate is taken as the final measurement. Starting the integral from the upslope  
 319 end of the test section  $x = x_L$  gives another estimate

$$320 \quad q_{su}(x) = \frac{1-\epsilon}{\Delta T} \int_x^{x_L} \Delta z dx + \frac{V_L}{\Delta T b} \quad (9)$$

321 where  $V_L$  is the volume of sand collected from  $x > x_L$  after one experiment. Equations (8)  
 322 and (9) are expected to give the same results, i.e.

$$323 \quad q_{sd}(x) - q_{su}(x) = \frac{1}{\Delta T b} [V_{LBP} - (V_0 + V_L)] = 0 \quad (10)$$

324 where

$$325 \quad V_{LBP} = -(1-\epsilon)b \int_{x_0}^{x_L} \Delta z dx \quad (11)$$

326 represents the measured sediment volume lost from the test section,  $x_0 \leq x \leq x_L$ . To  
 327 satisfy conservation of total sediment volume within the test facility  $V_{LBP}$  must equal  
 328 the sediment volume collected outside the test section, i.e.  $V_0 + V_L$ , rendering the right  
 329 hand side of equation (10) zero and resulting in  $q_{sd}(x) = q_{su}(x)$ . This, however, is not so

330 when we evaluate equation (10) using our experimentally obtained values of  $\Delta z$ ,  $V_0$ , and  
 331  $V_L$ . In all our experiments the right hand side of equation (10) turns out to be  $> 0$ , i.e.  
 332 suggesting that more sediment is lost from within than recovered outside the test section.

333 We hypothesize that this physically unrealistic result is caused by a uniform compaction  
 334 of the 20-cm-deep layer of loosely packed sand placed in the test section by an amount,  
 335  $\delta z$ , given by

$$336 \quad \delta z = \frac{V_{LBP} - (V_0 + V_L)}{(1 - \epsilon)Lb} \quad (12)$$

337 where  $L = x_L - x_0$  is the length of LBP coverage. Replacing our measured  $\Delta z$  by its  
 338 compaction-corrected value,

$$339 \quad \Delta z_C(x) = \Delta z + \delta z \quad (13)$$

340 we obtain the corrected net transport rate from

$$341 \quad q_s(x) = -\frac{(1 - \epsilon)}{\Delta T} \int_{x_0}^x \Delta z_C(x) dx - \frac{V_0}{\Delta T b} \quad (14)$$

342 where the subscript “ $d$ ” has been omitted, since starting from upslope or downslope  
 343 end would give identical transport rates now that total sediment volume in the facility  
 344 is conserved. Evidence in support of our compaction-hypothesis will be presented in  
 345 section 4.2 when this methodology is applied in the analysis of our data, along with a  
 346 discussion of potential causes for the compaction as well as alternative methodologies for  
 347 the determination of net sediment transport rates when conservation of total sediment  
 348 volume is violated.

## 4. Experimental results

### 4.1. Typical observations of bottom profile change

Since net sediment transport rate is obtained from measured bottom elevation change  $\Delta z$ , we first present typical observations of  $\Delta z$ . It should be noted that  $\Delta z$  can also be taken as the final bottom profile for tests started with a flat bed. Figure 2 shows the measured  $\Delta z$  for three F1 fine-sand tests ( $U_{bm} = 0.90$  m/s,  $T = 4.17$  s,  $A_{bm} = U_{bm}/\omega = 60$  cm,  $d_{50} = 0.13$  mm) over three bottom slopes ( $\beta = 0.10^\circ, 1.10^\circ$  and  $2.60^\circ$ ). The net sediment transport rate for most tests is in the downslope direction (towards the left in Figure 2), so a relatively big scour pit is developed near the upslope end ( $800 \text{ cm} < x < 900$  cm), while near the downslope end ( $0 \text{ cm} < x < 100$  cm), a relatively smaller scour pit (e.g. F1\_S11) or even a deposition hump (e.g. F1\_S26) is developed, depending on the magnitude of net transport rate. These bottom features are actually very mild, as their vertical scale (a few cm) is much smaller than their horizontal scale ( $\sim O(A_{bm}) \sim O(100$  cm)). Around the middle of the test section ( $200 \text{ cm} < x < 700$  cm), the movable bed remains essentially flat with a  $\Delta z$  fluctuating around zero by  $\pm O(1$  mm), which indicates that the net transport rate in this region is fairly uniform.

For the three groups of tests with the lowest three Shields parameter  $\psi_{wmd}$  ( $0.89 \sim 1.20$ , see Table 2), i.e. two groups of medium-sand tests (M1 and M2) and the coarse-sand test (C1), bedforms of vanishingly small steepness are observed to develop, even though the experiments are supposed to be in the sheet-flow regime. This is not surprising, as the  $\psi_{wmd} = 0.7$  threshold in equation (4) should not be taken as a clear cut lower limit for sheet-flow regime with a perfectly flat bed. Figure 3a compares the final bottom profiles after running a M2 test ( $U_{bm} = 1.21$  m/s,  $T = 6.25$  s,  $d_{50} = 0.24$  mm) over a  $0.60^\circ$  slope

370 for 25 and 50 periods. As we can see, reasonably periodic bedforms are developed by the  
371 oscillatory flow. The bedform height is about 5 mm after the first 25 periods, but grows  
372 to about 10 mm after 50 periods, while the bedform length seems to be invariant ( $\sim 150$   
373 cm). The reason for these bedforms is still unclear, but the height-to-length ratio is less  
374 than  $1/100$ , and no flow separation or vortex cloud of suspended sands is observed, so we  
375 can still consider the experiment to be in the sheet-flow regime.

## 4.2. Bed compaction

376 The 20 cm-deep movable bed in the test section is prepared by slowly depositing sands,  
377 and the surface 1-2cm layer is disturbed after flattening the bed before most tests. There-  
378 fore, a slight bed compaction will occur either due to the sheet-flow, which will shake up  
379 the surface 1-2cm layer of sands, or a pressure-gradient ( $\partial p/\partial x$ )-induced flow within the  
380 stationary porous bed. The oscillatory flow can be always assumed uniform along the  
381 test section, because the change of bottom profile is immaterial compared to the channel  
382 height (50 cm), as demonstrated in Figures 2 and 3a. Consequently, it can be hypothe-  
383 sized that the flow-induced bed compaction will be fairly uniform along the entire movable  
384 bed and can be quantified as a homogeneous settling  $\delta z$  given by equation (12). Based on  
385 all tests,  $\delta z$  is always positive with a mean value of 0.2 mm and a standard deviation of  
386 0.16 mm, indicating that bed compaction indeed occurs. If a conservative assumption is  
387 made that only the surface 1 cm layer of the movable bed is compacted, i.e. attributing  
388 the compaction entirely to sheet-flow disturbance, a 0.2 mm compaction corresponds to  
389 only a decrease in porosity of 0.01, which is much smaller than the maximum possible  
390 decrease of porosity, i.e. about 0.1, suggested by the shake-table tests. Such a slight  
391 compaction should not influence the sediment transport processes, and therefore is not

392 a concern for the validity of our experiments. To further confirm that the obtained  $\delta z$   
 393 is due to compaction, three pairs of M2 tests ( $U_{bm}=1.21$  m/s,  $T=6.25$  s,  $d_{50}=0.24$  mm)  
 394 over three bottom slopes are performed. In each pair, the second test used the movable  
 395 bed left by the first test, i.e. the movable bed after the first test was not reworked before  
 396 the second test. Since the movable bed had been pre-compacted by the first test, less  
 397 compaction or smaller  $\delta z$  is expected for the second test, which is confirmed by the  $\delta z$   
 398 values shown in Table 3. It should be pointed out that the discrepancy between the net  
 399 sediment transport rates for each pair of tests (last two columns of Table 3) is within our  
 400 experimental inaccuracy  $\Delta q \sim O(10^{-6}m^2/s)$  (discussed in section 4.3). Therefore, it is  
 401 not necessary to conduct experiments in pairs just to reduce bed compaction.

402 Bed compaction will lead to a “violation” of volume conservation for sand, which is  
 403 a possible reason for the mismatch between the two net transport rates integrated from  
 404 the two ends, i.e. equation (10) is not satisfied. Therefore, we have proposed a bed-  
 405 compaction correction in section 3.3, i.e. equations (12)-(14), which essentially attributes  
 406 the mismatch totally to an overestimate of  $V_{LBP}$  due to a uniform compaction in the  
 407 test section. Alternatively, we can also attribute the mismatch entirely to the error in  
 408 the volume of sand collected outside the integral boundaries, i.e.  $V_0$  and  $V_L$ . We choose  
 409 a correction,  $\Delta V = V_{LBP} - (V_0 + V_L)$ , for  $V_0$  and  $V_L$ , and take  $V_{0,C} = V_0 + \Delta V/2$  and  
 410  $V_{L,C} = V_L + \Delta V/2$ , since the two ends are virtually identical. This will lead to another  
 411 correction for net transport rate, which is equivalent to taking a simple average between  
 412  $q_{su}$  and  $q_{sd}$  given by equations (8) and (9)

$$413 \quad q_{sA}(x) = \frac{q_{su}(x) + q_{sd}(x)}{2} \quad (15)$$

414 In many previous studies [e.g. *Hassan and Ribberink, 2005; van der A et al., 2010*], this  
 415 simple-average correction is adopted, and the transport rate at the middle point ( $x =$   
 416  $x_0 + L/2$ ) is taken as the final equilibrium net transport rate. To show that the two  
 417 corrections are not equivalent, we simply subtract equation (14) from equation (15). With  
 418 some simple algebra we obtain

$$419 \quad q_{sA}(x) - q_s(x) = \frac{(1 - \epsilon)}{\Delta T} \delta z(x - x_0 - \frac{L}{2}) \quad (16)$$

420 Thus, the two corrections are only identical at the middle point ( $x = x_0 + L/2$ ) of the test  
 421 section.

422 We further compare these two corrections based on a typical test M2\_S11 shown in Fig-  
 423 ure 4. For this test our bed-compaction correction (the solid line) gives a fairly uniform  
 424 transport rate around the middle point, so an expected central region with an equilib-  
 425 rium net transport rate is indeed established, which also supports the assumption of a  
 426 uniform bed compaction over the entire test section. The simple-average correction (the  
 427 dash-dot line), however, gives a net transport rate increasing towards the upslope (right)  
 428 end around the middle point, so one could argue that this experiment fails to reach the  
 429 expected equilibrium state and therefore is invalid. Therefore, our bed-compaction correc-  
 430 tion supersedes the simple-average correction in that it can yield results demonstrating the  
 431 validity of a test. Another argument against the simple-average correction is as follows. In  
 432 our experiments, the mismatch in volume,  $\Delta V = V_{LBP} - (V_0 + V_L)$ , usually corresponds to  
 433 about 1 kg of sand, while our accuracy in collecting sands from the two ends is estimated  
 434 to be about 0.1 kg. Thus,  $\Delta V$  is very unlikely due to the experimental error in collecting  
 435  $V_0$  and  $V_L$ , which invalidates the assumption of the simple-average correction.

436 It should be pointed out that the simple-average correction is quantitatively equivalent  
 437 to the proposed bed-compaction correction, if the computed value of  $q_s$  at the middle  
 438 point  $x = x_0 + L/2$  is taken as the equilibrium transport rate. Thus, we do not question  
 439 the validity of previous studies adopting the simple-average correction. However, this  
 440 may not be true for tests with very low  $q_s$  or significant spatial variation of  $q_s$  due to the  
 441 presence of low-steepness bedforms (see section 4.1).

### 4.3. Net transport rate

442 The obtained net sediment transport rate is averaged over the equilibrium region to  
 443 give the final measurement  $q_{s,net}$

$$444 \quad q_{s,net} = \frac{\int_{x_1}^{x_2} q_s(x) dx}{x_2 - x_1} \quad (17)$$

445 where  $x_1$  and  $x_2$  are the limits for averaging. Since water particles within  $2A_{bm}$  from the  
 446 ends can reach the ends, we simply take  $2A_{bm}$  as an initial rough estimate of the influential  
 447 range of end effects, and set  $x_1 = x_0 + 2A_{bm}$  and  $x_2 = x_L - 2A_{bm}$ , where  $x_0 = 95$  mm and  
 448  $x_L = 8905$  mm are the coordinates of the downslope and upslope ends of the movable bed,  
 449 respectively. As shown in Figure 3b, for tests with the presence of low-steepness bedforms,  
 450 there may be a quite significant spatial variation of  $q_s$ , because the local bottom slope  
 451 can be comparable to mean slope ( $1/100 \sim 0.6^\circ$ ). Therefore, the two limits for averaging  
 452 are further adjusted to include an integer number of bedforms. As seen from Table 2, for  
 453 instance test M2\_S06, the net transport rates averaged over integer numbers of bedforms  
 454 are almost the same after 25 and 50 periods, which confirms that the bedforms have little  
 455 effect on the net transport rate in the equilibrium region, as long as the spatial variation  
 456 is taken care of by averaging.

457 The second to last column of Table 2 presents the experimental results for  $q_{s,net}$ , whereas  
 458 the last column presents  $\Delta q_{net} = |q_{net,a} - q_{net,b}|/2$ , the difference between repeated experi-  
 459 ments ( $q_{net,a}$  and  $q_{net,b}$ ), except for test M2\_S26, for which  $\Delta q_{net}$  is the standard deviation  
 460 of the four repeats. The obtained  $\Delta q_{s,net}$  is of the order  $1 \cdot 10^{-6} \text{m}^2/\text{s}$ , which is generally  
 461 much smaller than the magnitude of the corresponding  $q_{s,net}$ , demonstrating that the ex-  
 462 periments are highly repeatable. We therefore can use the averaged  $q_{s,net}$  from repeats as  
 463 the final measurement for that particular test condition and take  $1 \cdot 10^{-6} \text{m}^2/\text{s}$  as the esti-  
 464 mate of the accuracy of our determination of  $q_{s,net}$ . For a given flow-sediment condition,  
 465 the test with a  $0.10^\circ$  slope has a net transport rate of the same order as the experimental  
 466 accuracy ( $1 \cdot 10^{-6} \text{m}^2/\text{s}$ ), which agrees with the expectation that a zero net transport rate  
 467 should be obtained for horizontal bottoms. For the rest of the tests,  $q_{s,net}$  is always in the  
 468 downslope direction (negative values) and increases with bottom slope.

469 The magnitude of  $q_{s,net}$  for tests on our maximum slope ( $2.60^\circ$  or 1 on 22) is generally  
 470 between  $(2 \sim 5) \cdot 10^{-5} \text{m}^2/\text{s}$ , which is comparable to the  $q_{s,net}$  due to flow skewness obtained  
 471 in some previous OWT sheet-flow studies. For example, the flow and sediment conditions  
 472 in our M3 tests are comparable to those in the Series-B-16 test by *Ribberink and Al-Salem*  
 473 [1994] ( $d_{50}=0.21$  mm, 6.5 s-period asymmetric oscillatory flows corresponding to Stokes  
 474 2nd-order waves with the maximum and minimum velocities being 1.72 m/s and -0.86  
 475 m/s, respectively). The measured transport rate in this test,  $q_{s,net} = 70 \cdot 10^{-6} \text{m}^2/\text{s}$ , is  
 476 comparable to that in M3\_S26,  $q_{s,net} = -54 \cdot 10^{-6} \text{m}^2/\text{s}$ . It should be noted that the flow  
 477 skewness of the quoted test even exceeds the limit of Stokes 2nd-order waves theory, i.e.  
 478 the maximum flow velocity should be less than 5/3 times the minimum flow velocity, but  
 479 the actual bottom slope in surf zones can be larger than our maximum value. Therefore,



our results suggest that the slope effect may be as important as wave nonlinearity and therefore should be included in predictions of cross-shore  $q_{s,net}$ . A more quantitative comparison of these two effects requires extensive future research work, e.g. additional experiments of skewed oscillatory flows over sloping bottoms which are not within the scope of the present study.

For a given flow-sediment condition, the net sediment transport rate  $q_{s,net}$  is only a function of bottom slope  $\beta$ , i.e.  $q_{s,net} = f(\beta)$ , which can be approximated for mild slopes with a Taylor-series expansion

$$q_{s,net} = f(\beta = 0) + \left. \frac{\partial f}{\partial \beta} \right|_{\beta=0} \beta + O(\beta^2) \approx A' \cdot \beta \quad (18)$$

in which the constant  $A'$  depends on the flow and sediment conditions and has a unit of, for example,  $\text{m}^2/\text{s}$  with  $\beta$  in radians. This suggests that the magnitude of  $q_{s,net}$  should increase linearly with  $\beta$ . Since most of the measured net transport rates are in the downslope direction (negative  $q_{s,net}$ ), we introduce

$$q_{net} = -q_{s,net} = A \cdot \beta \quad (19)$$

where  $A = -A'$  is always positive. The net sediment transport rate is plotted against  $\beta$  for each flow-sediment condition in Figure 5. The data points suggest that  $q_{net}$  indeed increases linearly with  $\beta$ , so equation (19) is therefore fitted to the measurements. Table 4 shows the results of linear-function fittings. The coefficient of determination  $R^2$  is over 0.93, and the relative 95%-confidence interval,  $\Delta A$ , for  $A$  is less than 22%, indicating a good fitting quality. More discussion of this linear relationship will be provided in section 5.

#### 4.4. Lateral inhomogeneity

Our experimental method assumes that the net sediment transport rate is laterally uniform, which can be invalidated by many factors, e.g. imperfect initial bed preparation, sidewall effects or three-dimensionality of end effects, so it is necessary to assess the influence of lateral inhomogeneity on the experimental determination of net transport rate. Since the LBP has two laser lines giving two measurements of bottom elevation change,  $\Delta z_1$  and  $\Delta z_2$ , along two lateral positions of the WCS, we can separately use them to obtain two estimates of net transport rate,  $q_{net,1}$  and  $q_{net,2}$ , following the same data analysis method introduced before. The obtained net transport rate based on single laser lines still exhibit good linear dependency on bottom slope for a given flow-sediment condition, so following the analysis in section 4.3 we fit the linear function, i.e.  $q_{net,i} = A_i \cdot \beta$  ( $i = 1, 2$ ), between net transport rate  $q_{net,i}$  and bottom slope  $\beta$ , and investigate the effect of lateral inhomogeneity based on the fitted slopes  $A_i$  ( $\pm 95\%$  confidence limits in %). As shown in Table 5, the discrepancies among the obtained  $A_i$ , expressed by the ratio  $|A_1 - A_2|/(2A)$ , are about  $10 \sim 20\%$  for tests with coarse and medium sands, while for fine-sand tests the discrepancies are quite immaterial ( $O(2\%)$ ). Since low-steepness bedforms are only observed for tests with coarse and medium sands, they are likely the main reason for lateral inhomogeneity. Nevertheless, an uncertainty of  $10 \sim 20\%$  is generally considered acceptable in the study of sediment transport, indicating that we can neglect the lateral inhomogeneity.

#### 5. A conceptual model

In this section we present a conceptual model for predicting bottom-slope-induced net sheet-flow sediment transport rate. Following the single-phase approach, we separately

522 develop models for both net bedload and suspended-load transport rates, which allow us  
 523 to understand the mechanisms through which the bottom slope produces a net transport  
 524 rate. The model does not account for some details within the thin sheet-flow layer, e.g.  
 525 the inter-granular processes, so the predictions of flow velocity and sediment concentration  
 526 are conceptual in the close vicinity of the sand bed. Nevertheless, the model parameters  
 527 are determined carefully to ensure a valid prediction of the net sediment transport rate.

### 5.1. Net bedload transport rate

528 The net bedload transport rate is predicted by period-averaging the prediction of in-  
 529 stantaneous bedload transport rate

$$530 \quad \bar{q}_{sB} = \frac{1}{T} \int_0^T q_{sB}(t) dt \quad (20)$$

531 The sands in our study have diameters from 0.13 to 0.51 mm, so their response time  
 532 to changing flow is much shorter than a flow period. Thus, the instantaneous bedload  
 533 transport rate can be calculated with the instantaneous flow condition in a quasi-steady  
 534 manner with a bedload transport model, which accounts for the effect of bottom slope.

535 The model proposed by *Madsen* [1993], which extended his conceptual bedload trans-  
 536 port model [*Madsen*, 1991] to account for a bottom slope effect, is adopted in this study.  
 537 *Gonzalez-Rodriguez and Madsen* [2007] successfully applied this model to predict the ex-  
 538 perimental bedload transport rates obtained by *King* [1991] for his bedload-dominated  
 539 cases, which supports our adoption of this model. This model considers an exposed spher-  
 540 ical sediment grain of diameter  $d$  and specific density  $s$  resting on a plane bed inclined at  
 541 an angle  $\beta$  to horizontal, where  $\beta$  is taken positive if sloping upward in the direction of  
 542 transport, as shown in Figure 6. A force balance in the bottom-parallel direction can be

543 written as

$$544 \quad F_{fD} - F_{gx} = F_R = F_{g\perp} \cdot \begin{cases} \tan \phi_s, & \text{incipient motion} \\ \tan \phi_m, & \text{bedload transport} \end{cases} \quad (21)$$

545 where  $F_{fD}$  is the fluid drag force,  $F_{gx}$  is the bottom-parallel component of the submerged  
 546 weight, and  $F_R$  is the frictional resistance, which is given by the product of  $F_{g\perp}$  (the  
 547 bottom-normal component of the submerged weight) and an angle of friction, i.e.  $\phi_s$  for  
 548 static friction and  $\phi_m$  for moving friction. *Madsen* [1991] suggested that  $\phi_s = 47^\circ$  and  
 549  $\phi_m = 28^\circ$ , in agreement with the experimental value obtained by *King* [1991].

550 Comparing equation (21) for incipient motions on horizontal and sloping bottoms, it  
 551 can be easily shown that the critical Shields parameter for sands on a sloping bottom,  
 552  $\psi_{cr,\beta}$ , can be expressed as

$$553 \quad \psi_{cr,\beta} = \frac{u_{*cr}^2 F_s(\beta)}{(s-1)gd} = \psi_{cr} F_s(\beta) \quad (22)$$

554 where  $\psi_{cr}$ , the critical Shields parameter for a horizontal bottom, is determined from the  
 555 modified Shields diagram proposed by *Madsen and Grant* [1976],  $u_{*cr}$  is the critical shear  
 556 velocity corresponding to  $\psi_{cr}$ , and  $F_s(\beta)$  is a slope correction factor given by

$$557 \quad F_s(\beta) = \cos \beta \left( 1 + \frac{\tan \beta}{\tan \phi_s} \right) \quad (23)$$

558 The bedload sediment transport rate is obtained with the knowledge of average sediment  
 559 grain velocity,  $u_s$ , and the number of bedload sediment grains per unit surface area,  $N_B$ .  
 560 Starting from the bottom-parallel force balance, i.e. equation (21), but otherwise following  
 561 *Madsen* [1991], we obtain

$$562 \quad u_s = 8 \left( u_* - u_{*cr} \sqrt{\frac{1}{2} F_m(\beta)} \right) \quad (24)$$

563 where  $u_*$  is the shear velocity related to the driving bottom shear stress and  $F_m(\beta)$  is a  
564 correction factor for bottom slope

$$565 \quad F_m(\beta) = \cos \beta \left( 1 + \frac{\tan \beta}{\tan \phi_m} \right) \quad (25)$$

566 Assuming that the excess bottom shear stress  $\tau_b - \tau_{cr,\beta}$  is balanced by the drag force on  
567 moving sediment grains, the number of sediment grains in motion per unit bottom area  
568 is

$$569 \quad N_B = \frac{u_*^2 - u_{*cr}^2 F_s(\beta)}{\tan \phi_m \left( \frac{\pi}{6} d^3 \right) (s-1) g F_m(\beta)} \quad (26)$$

570 and the bedload transport rate is obtained from

$$571 \quad \begin{aligned} q_{sB} &= N_B \left( \frac{\pi}{6} d^3 \right) u_s \\ &= \frac{8}{\tan \phi_m (s-1) g F_m(\beta)} \left( u_*^2 - u_{*cr}^2 F_s(\beta) \right) \left( u_* - u_{*cr} \sqrt{\frac{1}{2} F_m(\beta)} \right) \end{aligned} \quad (27)$$

572 We hereafter denote this as the M93 formula. For very mild bottom slope ( $\tan \beta \approx \beta \ll 1$ ),  
573 the primary effect of bottom slope is represented by the  $F_m(\beta)$  term in the denominator,  
574 i.e.

$$575 \quad q_{sB,\beta} \approx q_{sB,0} \left( 1 - \frac{\beta}{\tan \phi_m} \right) + O(\tan \beta^2) \quad (28)$$

576 where  $q_{sB,0}$  is the corresponding bedload transport rate over a horizontal bottom. Thus,  
577 the effect of bottom slope on the instantaneous bedload transport rate is simply a factor  
578 of  $\beta / \tan \phi_m$ .

579 Following the quasi-steady assumption, the instantaneous bedload transport rate can  
580 be predicted with the instantaneous bottom shear stress as

$$581 \quad \begin{aligned} q_{sB}(t) &= \frac{8}{\tan \phi_m (s-1) g F_m(\beta(t))} \cdot \\ &\max \left[ u_{*d}(t)^2 - u_{*cr}^2 F_s(\beta(t)), 0 \right] \left( u_{*d}(t) - u_{*cr} \sqrt{\frac{F_m(\beta(t))}{2}} \right) \frac{\tau_{bd}(t)}{|\tau_{bd}(t)|} \end{aligned} \quad (29)$$

582 where

$$583 \quad \beta(t) = \begin{cases} \beta, & \tau_{bd}(t) > 0 \quad (\text{upslope}) \\ -\beta, & \tau_{bd}(t) \leq 0 \quad (\text{downslope}) \end{cases} \quad (30)$$

584 and the subscript “ $d$ ” has been added to shear stress related terms to reflect the deriva-  
585 tion of the M93 formula, and hence equation (29), being based on an assumed bottom  
586 roughness equal to the diameter of the sediment grains.

587 *Yuan and Madsen* [2014] experimentally and theoretically showed that for sinusoidal  
588 oscillatory boundary layers the time-varying bottom shear stress can be accurately ap-  
589 proximated by a first and a third harmonic

$$590 \quad \tau_{bd}(t) = \alpha\tau_{wmd} \cos(\omega t + \varphi_\tau) + (1 - \alpha)\tau_{wmd} \cos(3\omega t + 3\varphi_\tau) \quad (31)$$

591 where  $\tau_{wmd}$  is the maximum bottom shear stress and  $\varphi_\tau$  is the phase lead of  $\tau_{wmd}$  relative  
592 to the maximum free-stream velocity. Measurements confirm the theoretical prediction  
593 that the ratio of the third-harmonic amplitude to the first-harmonic amplitude is about  
594 15%, so  $\alpha$  is set to 0.87. Model validation by *Yuan and Madsen* [2014] suggests that both  
595  $\tau_{wmd}$  and  $\varphi_\tau$  can be accurately predicted by the wave boundary layer model developed by  
596 *Humbyrd* [2012] from knowledge of the free-stream velocity and bottom roughness

$$597 \quad \tau_{wmd} = \frac{1}{2}\rho f_w U_{bm}^2 \quad (32)$$

598 with the friction factor  $f_w$  given by equation (5), and

$$599 \quad \varphi_\tau[\text{rad}] = 0.649 \left( \frac{A_{bm}}{k_N} \right)^{-0.160} + 0.118, \quad 10 < \frac{A_{bm}}{k_N} < 10^5 \quad (33)$$

600 Thus, equations (31) to (33) enable us to predict the instantaneous bottom shear stress.  
601 To be consistent with the fact that the bedload transport model, i.e. equations (27) and  
602 (29), is derived based on  $k_N = d$ ,  $\tau_{wmd}$  and  $\varphi_\tau$  are predicted using  $k_N = d_{50}$ .

603 Figure 7 shows the predicted  $\tau_{bd}(t)$  and instantaneous bedload transport rate  $q_{sB}(t)$  for  
 604 test M3\_S26 ( $U_{bm}=1.6$  m/s,  $T=6.25$  s,  $d_{50}=2.4$  mm, and  $\beta=2.6^\circ$ ). To facilitate comparison  
 605 between temporal variations,  $q_{sB}$  and  $\tau_{bd}$  are normalized by their upslope (positive) max-  
 606 ima. Since  $q_{sB}$  is approximately scaled by  $\tau_{bd}^{3/2}$ , the predicted  $q_{sB}$  has sharper crests than  
 607  $\tau_{bd}$ . The downslope minimum of  $q_{sB}$  is about 20% larger than the upslope maximum, which  
 608 agrees with the effect of bottom slope suggested by equation (28), i.e.  $2\beta/\tan\phi_m \approx 17\%$ .

## 5.2. Net suspended-load transport rate

609 The net suspended-load transport rate is given by

$$610 \quad \bar{q}_{sS} = \int_{z_r}^{\infty} \overline{uc} dz \quad (34)$$

611 where the over-bar indicates period-averaging,  $z_r$  is a reference level,  $u$  is velocity and  $c$   
 612 is volumetric concentration. For sinusoidal oscillatory boundary layers, we can express  $u$   
 613 as a Fourier series with only odd-order harmonics due to the perfect asymmetry between  
 614 successive half-periods

$$615 \quad u = \sum_{n=0}^{\infty} \text{Re}(U_{2n+1} e^{i\varphi_{u,2n+1}} e^{i(2n+1)\omega t}) \quad (35)$$

616 where  $U_{2n+1}$  and  $\varphi_{u,2n+1}$  are the amplitude and phase of the  $(2n+1)$ th-harmonic velocity.

617 Similarly, the concentration can also be expressed as a Fourier series

$$618 \quad c = \bar{c} + \sum_{n=1}^{\infty} \text{Re}(c_n e^{i\varphi_{c,n}} e^{in\omega t}) \quad (36)$$

619 where  $\bar{c}$  is the mean concentration, and  $c_n$  and  $\varphi_{c,n}$  are the amplitude and phase of  $n$ -th  
 620 harmonic concentration, respectively. The net sediment flux at a given vertical level can  
 621 then be written as

$$622 \quad \overline{uc} = \frac{1}{2} \sum_{n=0}^{\infty} U_{2n+1} c_{2n+1} \cos(\varphi_{u,2n+1} - \varphi_{c,2n+1}) \quad (37)$$

623 If the bottom is horizontal, the temporal variation of concentration should have two  
 624 identical half-periods, so all odd harmonics of concentration vanish, leading to a zero net  
 625 suspended-load transport rate. However, for a sloped bottom equation (26) suggests that  
 626 the number of bedload sand grains,  $N_B$ , deviates from the corresponding zero-slope value,  
 627 and can be approximated by

$$628 \quad N_B \approx N_{B,0} \left( 1 - \frac{\beta}{\tan \phi_m} \right) \quad (38)$$

629 where  $N_{B,0}$  is the number of bedload sediment grains for the same flow on a horizontal  
 630 bottom, i.e. with  $\beta = 0$  in equation (26). Thus, the variation of  $N_B$  with  $\beta$  is a factor of  
 631  $1 - \beta/\tan \phi_m$  (minus sign indicates less moving sand grains for upslope flow). The reference  
 632 sediment concentration, which is usually defined at a few sediment diameters above the  
 633 bottom, should be proportional to the number of moving sand grains considered as bedload  
 634 transport, i.e.  $c_r \propto N_B$ . It is also reasonable to assume that reference concentration  
 635 responds to the change of bedload transport rate in a quasi-steady manner, so the two  
 636 half-periods of reference concentration are slightly asymmetric due to bottom slope, as  
 637 shown in Figure 8. The difference between the peaks of  $c_r(t)$  can be represented by a  
 638 first-harmonic reference concentration

$$639 \quad c_{r1}(t) = c_{rm,0} \frac{\tan \beta}{\tan \phi_m} \cos(\omega t + \varphi_{rc1}) \quad (39)$$

640 where  $c_{rm,0}$  is the maximum reference concentration for the same flow-sediment condition  
 641 on a horizontal bottom. Since the slope is defined positive in the upslope direction, the  
 642 phase  $\varphi_{rc1}$  should be related with the phase lead of the effective bottom shear stress  $\varphi_\tau$   
 643 (predicted using equation (33) with  $k_N = d_{50}$ ) through

$$644 \quad \varphi_{rc1} = \varphi_\tau + \pi \quad (40)$$



645 This first-harmonic reference concentration is diffused upward into the water column, so  
 646 a first-harmonic concentration is developed. Higher-order odd harmonics of velocity are  
 647 negligible compared to the first harmonic [Yuan and Madsen, 2014], so the mean sediment  
 648 flux can be well approximated by the first-harmonic terms, i.e.

$$649 \quad \overline{uc} \approx \frac{1}{2} U_1 c_1 \cos(\varphi_{u1} - \varphi_{c1}) \quad (41)$$

650 The net suspended-load transport rate is therefore

$$651 \quad \bar{q}_{sS} = \int_{z_r}^{\infty} \frac{1}{2} U_1 c_1 \cos(\varphi_{u1} - \varphi_{c1}) dz \quad (42)$$

652 The remaining task is to predict the first-harmonic velocity and concentration, and then  
 653 numerically evaluate the integral defined by equation (42).

### 654 5.2.1. First-harmonic velocity

655 The governing momentum equation for oscillatory turbulent boundary layers in OWTs  
 656 is

$$657 \quad \frac{\partial u}{\partial t} = \frac{\partial u_{\infty}}{\partial t} + \frac{\partial}{\partial z} \left( \frac{\tau_{zx}}{\rho} \right) \quad (43)$$

658 where  $t$  is time,  $z$  is the vertical coordinate,  $\rho$  is water density,  $\tau_{zx}$  is the Reynolds shear  
 659 stress and  $u_{\infty}$  is the free-stream velocity.  $\tau_{zx}$  can be related to the vertical velocity gradient  
 660 through a turbulent eddy viscosity  $\nu_T$

$$661 \quad \frac{\tau_{zx}}{\rho} = \nu_T \frac{\partial u}{\partial z} \quad (44)$$

662 Following Grant and Madsen [1979], we adopt their simple time-invariant

$$663 \quad \nu_T = \kappa u_* f z \quad (45)$$

664 where  $\kappa = 0.4$  is von Karman's constant and  $u_{*f}$  is chosen as the shear velocity based on  
 665 maximum bottom shear stress. Equation (43) can now be written as

$$666 \quad \frac{\partial u}{\partial t} = \frac{\partial u_\infty}{\partial t} + \frac{\partial}{\partial z} \left( \nu_T \frac{\partial u}{\partial z} \right) \quad (46)$$

667 Solving equation (46) with the following boundary conditions

$$668 \quad \begin{cases} u = 0, & z = z_0 = k_N/30 \\ u \rightarrow u_\infty = U_{bm} \cos(\omega t), & z \rightarrow \infty \end{cases} \quad (47)$$

669 we get the complex-amplitude of the first-harmonic velocity

$$670 \quad U^{(1)}(z) = U_1 e^{i\varphi_{u1}} = U_{bm} \left[ 1 - \frac{\ker(2\sqrt{\frac{z}{l}}) + i\text{kei}(2\sqrt{\frac{z}{l}})}{\ker(2\sqrt{\frac{z_0}{l}}) + i\text{kei}(2\sqrt{\frac{z_0}{l}})} \right] \quad (48)$$

671 where  $\ker$  and  $\text{kei}$  are Kelvin functions of order zero, see *Abramowitz and Stegun* [1965],  
 672 and  $l$  is a boundary-layer length scale defined as

$$673 \quad l = \frac{\kappa u_{*f}}{\omega} \quad (49)$$

674 In the very near-bottom region, the amplitude of first-harmonic velocity converges to a  
 675 logarithmic profile scaled by  $u_{*f}$ , which is confirmed by many measurements [e.g. *Yuan*  
 676 *and Madsen*, 2014]. The closure for  $u_{*f}$  and the choice of  $k_N$  will be discussed later in  
 677 conjunction with other model parameters (section 5.3).

### 678 5.2.2. First-harmonic concentration

679 Since the flow in OWTs is homogeneous in the stream-wise direction, the governing  
 680 equation for sediment concentration is

$$681 \quad \frac{\partial c}{\partial t} = w_f \frac{\partial c}{\partial z} + \frac{\partial}{\partial z} \left( D_T \frac{\partial c}{\partial z} \right) \quad (50)$$

682 where  $w_f$  is the sediment fall velocity and  $D_T$  is the turbulent diffusivity. Assuming  
 683 sediments to be passive, a close analogy can be drawn between the turbulent diffusion of

684 momentum and sediment. Thus for internal consistency with equation (45) we take

$$685 \quad D_T = \kappa u_{*D} z \quad (51)$$

686 where  $u_{*D}$  is a characteristic shear velocity. Using complex variables, we can write the  
687 first-harmonic concentration as

$$688 \quad c_1(z, t) = \text{Re} \left( c^{(1)}(z) e^{i\omega t} \right) \quad (52)$$

689 where  $c^{(1)}$  is the complex amplitude, and the governing equation for  $c^{(1)}$  is

$$690 \quad i\omega c^{(1)} = w_f \frac{\partial c^{(1)}}{\partial z} + \frac{\partial}{\partial z} \left( D_T \frac{\partial c^{(1)}}{\partial z} \right) \quad (53)$$

691 which can be normalized into

$$692 \quad i\hat{c}^{(1)} = a \frac{\partial \hat{c}^{(1)}}{\partial \xi} + \frac{\partial}{\partial \xi} \left( \xi \frac{\partial \hat{c}^{(1)}}{\partial \xi} \right) \quad (54)$$

693 with:

$$694 \quad \hat{c}^{(1)} = \frac{c^{(1)}}{c_r^{(1)}} \quad (55)$$

$$695 \quad a = \frac{w_f}{\kappa u_{*D}} \quad (56)$$

$$696 \quad \xi = z / \left( \frac{\kappa u_{*D}}{\omega} \right) \quad (57)$$

699 where

$$700 \quad c_r^{(1)} = c_{rm,0} \frac{\tan \beta}{\tan \phi_m} e^{i\varphi_{rc1}} \quad (58)$$

701 is the complex amplitude of the first-harmonic reference concentration specified at a ref-  
702 erence level  $z = z_r$ . The boundary conditions for the normalized governing equation  
703 are

$$704 \quad \begin{cases} \hat{c}^{(1)} = 1 & \xi = \xi_r = z_r / \left( \frac{\kappa u_{*D}}{\omega} \right) \\ \hat{c}^{(1)} \rightarrow 0 & \xi \rightarrow \infty \end{cases} \quad (59)$$

705 The analytical solution of this set of equations

$$706 \quad \hat{c}^{(1)} = \frac{\xi^{-a/2} [\ker_a(2\sqrt{\xi}) + i\text{kei}_a(2\sqrt{\xi})]}{(\xi_r)^{-a/2} [\ker_a(2\sqrt{\xi_r}) + i\text{kei}_a(2\sqrt{\xi_r})]} \quad (60)$$

707 was given by *Wikramanayake* [1993] with  $\ker_a$  and  $\text{kei}_a$  denoting Kelvin functions of order  
708 “ $a$ ” [see *Abramowitz and Stegun*, 1965].

### 5.3. Determination of model parameters

709 Whereas all parameters needed to evaluate the bedload transport model presented in  
710 section 5.1, equation (29), have been defined, computation of the suspended-load transport  
711 model developed in section 5.2 requires the specification of several parameters: (i) the  
712 shear velocity and associated roughness needed to evaluate the advective velocity from  
713 equation (48), (ii) the sediment fall velocity,  $w_f$ , and (iii) the shear velocity,  $u_{*D}$ , scaling  
714 the turbulent eddy diffusivity are required to obtain the parameter,  $a$ , defined by equation  
715 (56); and (iv) the reference concentration,  $c_{rm,0}$ , and the level where it is specified,  $z_r$ , in  
716 order to predict the concentration distribution from equations (55) and (60).

717 To obtain these model parameters we make use of the results by *Zyserman and Fredsøe*  
718 [1994] (ZF94 hereafter), who analyzed an extensive set of laboratory data on total-load  
719 sediment transport rates obtained for steady uniform open channel flows to obtain an em-  
720 pirical formula for the reference concentration. Since ZF94 developed their formula from  
721 steady-flow data, we first review the salient features of their analysis, before we present  
722 our methodology to translate ZF94’s steady flow results for our unsteady oscillatory flow  
723 conditions.

#### 724 5.3.1. Summary of data analysis of ZF94

725 By splitting the measured total-load transport,  $q_{TM}$ , into bedload and suspended-load  
726 contributions, ZF94 obtained data on the latter from

$$727 \quad q_{S,ZF} = q_{TM} - q_{B,ZF} \quad (61)$$

728 by predicting the bedload transport rate using the formula proposed by *Engelund and*  
729 *Fredsøe* [1976]

$$730 \quad \frac{q_{B,ZF}}{\sqrt{(s-1)gd}d} = 5 \left[ 1 + \left( \frac{\frac{\pi}{6}\mu_b}{\psi' - \psi_{cr}} \right)^4 \right]^{-1/4} \left( \sqrt{\psi'} - 0.7\sqrt{\psi_{cr}} \right) \quad (62)$$

731 where

$$732 \quad \psi' = \frac{(u'_*)^2}{(s-1)gd_{50}} = \frac{\tau_b'}{(s-1)\rho gd_{50}} \quad (63)$$

733 is the skin friction Shields parameter based on a skin friction roughness,  $k_N = k'_N = 2.5d_{50}$ ,  
734  $\psi_{cr}$  is the critical Shields parameter for incipient motion, and  $\mu_b$  is a dynamic friction  
735 coefficient, which is recommended to be taken as unity by ZF94.

736 The values for the suspended-load transport, obtained in this manner, are then equated  
737 to the prediction afforded by *Einstein's* [1950] suspended-load formula, i.e.

$$738 \quad q_{S,ZF} = 11.6u'_*c_rz_r \left[ I_1 \ln\left(\frac{30h}{2.5d_{50}}\right) + I_2 \right] \quad (64)$$

739 where  $h$  is measured water depth,  $c_r$  is the reference concentration at a reference level  $z_r =$   
740  $2d_{50}$ ,  $I_1$  and  $I_2$  are Einstein's integrals, which are presented in graphical form in *Einstein*  
741 [1950] as functions of the dimensionless reference level  $z_r/h$  and the Rouse parameter

$$742 \quad R = \frac{w_f}{\kappa u_*} \quad (65)$$

743 with the sediment fall velocity,  $w_f$ , obtained from *Rubey* [1933]

$$744 \quad \frac{w_f}{\sqrt{(s-1)gd}} = \sqrt{\frac{2}{3} + \frac{36\nu^2}{gd^3(s-1)}} - \sqrt{\frac{36\nu^2}{gd^3(s-1)}} \quad (66)$$

745 and a value of  $u_*$  based on the total bottom shear stress, i.e.  $k_N = k_m$  =movable bottom  
 746 roughness. This shear velocity,  $u_*$ , is obtained from

$$747 \quad u_* = \sqrt{ghS_0} \quad (67)$$

748 where  $S_0$  is the (measured) channel slope. With

$$749 \quad U = \frac{u_*}{\kappa} \ln \frac{11h}{k_m} = \frac{u_*'}{\kappa} \ln \frac{11h'}{k_{N'}} = \frac{u_*'}{\kappa} \ln \frac{11h'}{2.5d_{50}'} \quad (68)$$

750 where  $U$  is the (measured) cross-sectional average velocity, and

$$751 \quad u_*' = \sqrt{gh'S_0} \quad (69)$$

752 Equation (68) can be solved for  $h'$  and the skin friction shear velocity,  $u_*'$ , which represents  
 753 the advective velocity, is obtained from equation (69).

754 With  $c_r$  being the only unknown, equation (64) is solved for  $c_r$  and the resulting values  
 755 are represented by an empirical relationship for the reference concentration. Obviously,  
 756 this relationship is a function of the procedures employed by ZF94 in their data analysis,  
 757 e.g. their adoption of equation (62) as the bedload transport predictor influences the values  
 758 obtained for  $c_r$ . Since the bedload transport formula by *Engelund and Fredsøe* [1976] has  
 759 been found to underpredict the bedload transport rate, e.g. *Zhang and McConnachie*  
 760 [1994], adopting a different bedload transport predictor might result in physically more  
 761 realistic  $c_r$ -values. If we express the bedload transport rate  $q_{sB}$  predicted by our conceptual  
 762 model presented in section 5.1 as

$$763 \quad q_{sB} = (1 + \alpha_1)q_{B,ZF} \quad (70)$$

764 we obtain from equation (61) a suspended-load transport rate

$$765 \quad q_{sS} = \left(1 - \frac{\alpha_1}{\alpha_2}\right) q_{S,ZF} = \gamma q_{S,ZF} \quad (71)$$

766 where:

$$767 \quad \alpha_2 = \frac{q_{S,ZF}}{q_{B,ZF}} \quad (72)$$

768 Thus, the choice of an alternative bedload transport predictor simply modifies the sus-  
769 pended load transport rate by the factor

$$770 \quad \gamma = 1 - \frac{\alpha_1}{\alpha_2} \quad (73)$$

771 and therefore simply results in changing the  $c_r$ -values obtained from equation (61) by a  
772 factor of  $\gamma$ . Thus, we obtain the following generalization of the reference concentration  
773 formula proposed by ZF94

$$774 \quad c_r = \gamma \frac{0.331(\psi' - \psi_{cr})^{1.75}}{1 + 0.72(\psi' - \psi_{cr})^{1.75}} \quad (74)$$

775 where  $\psi_{cr}$  obtained from the Shields diagram replaces the constant value, 0.045, chosen  
776 for its simplicity by ZF94.

### 777 5.3.2. Application of ZF94 in unsteady oscillatory flows

778 Noting that we need to determine the maximum reference concentration,  $c_{rm,0}$ , in our  
779 model for suspended-load transport, we base our translation of the steady flow results,  
780 presented in section 5.3.1, to our unsteady oscillatory flow conditions when these are at  
781 or near their maximum values, which incidentally also corresponds to the time-interval  
782 when our flow is nearly steady.

783 From wave boundary layer analysis we obtain the maximum shear velocity from

$$784 \quad \frac{\tau_{bm}}{\rho} = u_{*m}^2 = \frac{1}{2} f_w U_{bm}^2 \quad (75)$$

785 where  $f_w$  is given by equation (5) with  $k_N = k_m$  =movable bed (or total) roughness.  
786 Many previous studies, e.g. *Dohmen-Janssen et al.* [2001], suggest that the presence of the

787 sheet-flow layer leads to an increased total bottom shear stress, which can be characterized  
 788 by an increased movable bed roughness,  $k_m$ . *Herrmann and Madsen* [2007] proposed a  
 789 parametrization for  $k_m$  applicable to sheet-flow conditions, which can be generalized for  
 790 sinusoidal oscillatory flows to read

$$791 \quad k_m = [4.5 \cdot \max(0, \psi_m - \psi_{cr}) + 1.7] d_{50} \quad (76)$$

792 where  $\psi_m$  is a Shields parameter based on the maximum total bottom shear stress, i.e.

$$793 \quad \psi_m = \frac{u_{*m}^2}{(s-1)gd} = \frac{f_w U_{bm}^2}{2(s-1)gd} \quad (77)$$

794 Since  $\psi_m$  is a function of  $k_m$ , the evaluation of  $k_m$  should be achieved by iteratively solving  
 795 equations (5), (76) and (77). *Gonzalez-Rodriguez and Madsen* [2011] applied this total  
 796 movable bottom roughness to model the boundary layer streaming under asymmetric  
 797 oscillatory flows for sheet-flow conditions. Their successful predictions of experimentally  
 798 observed streaming demonstrate that the total movable bed roughness by *Herrmann and*  
 799 *Madsen* [2007] indeed leads to a good prediction of  $u_{*m}$ .

800 With  $u_{*m}$  known we can obtain an equivalent steady open channel flow of depth  $h_e$  by  
 801 requiring that

$$802 \quad U_{bm} = \frac{u_{*m}}{\kappa} \ln \frac{30h_e}{k_m} \quad (78)$$

803 which is analogous to equation (68), and an equivalent slope

$$804 \quad S_{0e} = \frac{u_{*m}^2}{gh_e} \quad (79)$$

805 obtained from equation (67). This equivalency concept is illustrated in Figure 9. The  
 806 corresponding skin friction shear velocity,  $u'_{*m}$ , is then calculated from equation (68) and  
 807 (69) with  $h_e$  and  $h'_e$  replacing  $h$  and  $h'$ , and taking  $S_0 = S_{0e}$ . Alternatively, we may



808 perform a wave boundary layer analysis for a bottom roughness  $k_N = k'_N = 2.5d_{50}$  to  
 809 obtain  $u'_{*m}$ . The two approaches lead, as seen from values listed in Table 6, to  $u'_{*m}$   
 810 predictions that differ by 1-2% for our experimental conditions.

811 With these equivalent steady open channel flow conditions the formulae and procedures  
 812 employed by ZF94 and presented in section 5.3.1 are applicable. Because our bedload  
 813 predictor, equation (29), differs from that chosen by ZF94, equation (62), we need the  
 814 modification factor,  $\gamma$ , before the reference concentration specified at  $z = z_r = 2d_{50}$  can  
 815 be obtained from equation (74) with

$$816 \quad \psi'_m = \frac{u'^2_{*m}}{(s-1)gd} \quad (80)$$

817 replacing  $\psi'$ , i.e. we need a value for our bedload transport rate at maximum flow. This  
 818 value,  $q_{sBm}$ , is obtained from equation (29) with  $\tau_b(t) = \tau_{umd}$ , and the factor  $\alpha_1$  is obtained  
 819 from equation (70) with  $q_{sB} = q_{sBm}$  and  $q_{B,ZF}$  evaluated from equation (62) for  $\psi' = \psi'_m$ .  
 820 The factor  $\alpha_2$ , defined by equation (72), is then obtained by computing  $q_{S,ZF}$ , equation  
 821 (64), with  $u_*$ ,  $u'_*$  and  $h$  replaced by  $u_{*m}$ ,  $u'_{*m}$  and  $h_e$ , and  $c_r$  obtained from equation  
 822 (74) with  $\psi'_m$  replacing  $\psi'$  and  $\gamma = 1$ . With  $\alpha_1$  and  $\alpha_2$  determined in this manner,  $\gamma$  is  
 823 obtained from equation (73), and equation (74) yields a value of reference concentration  
 824 specified  $z = z_r = 2.5d_{50}$ . Since our equivalent steady flow analogy is based on maximum  
 825 unsteady flow condition, this value corresponds to the maximum reference concentration,  
 826 i.e. precisely the  $c_{rm,0}$  needed to determine the first harmonic concentration distribution  
 827 in equation (58). Values of  $\alpha_1$ ,  $\alpha_2$ , and  $\gamma$  for our experimental conditions are listed in  
 828 Table 6. The obtained  $\gamma$  is less than one, because our bedload predictor yields a larger  
 829 bedload transport rate than the choice of ZF94, i.e. the  $\alpha_1$ -values are all positive.

830 With the reference concentration and the level where it is specified determined in this  
 831 manner, the remaining parameters needed to evaluate our suspended-load model follow  
 832 from the procedures employed by ZF94 in the analysis, i.e. (i) the shear velocity  $u_{*f}$ ,  
 833 needed to evaluate equation (48), is taken as  $u'_{*m}$  corresponding to a bottom roughness  
 834  $k_N = k'_N = 2.5d_{50}$ ; (ii) the fall velocity,  $w_f$ , is obtained from *Rubey* [1933], equation  
 835 (66), to be consistent with ZF94's choice; and (iii) the shear velocity scaling the turbulent  
 836 diffusivity,  $u_{*D}$ , is taken as the shear velocity based on total (movable) bottom roughness,  
 837 i.e.  $u_{*D} = u_{*m}$  based on movable bottom roughness  $k_N = k_m$  given by equation (76),  
 838 to adhere to the procedures followed by ZF94. With these specifications of parameters,  
 839 our model for suspended load sediment transport presented in section 5.2 is complete and  
 840 truly predictive, i.e. it does not rely on any data-fitting.

#### 5.4. Typical model prediction

841 Two tests, M1\_S26 (medium sands) and F2\_S26 (fine sands), with the same flow condi-  
 842 tion ( $U_{bm}=1.08$  m/s,  $T=8.33$  s) and bottom slope ( $\beta = 2.60^\circ$ ) are chosen as typical cases  
 843 with negligible and significant sediment suspension, respectively. Figure 10 shows the pre-  
 844 dicted first-harmonic concentration and velocity for these two tests. The amplitude of the  
 845 first-harmonic velocity follows the logarithmic profile in the very near bottom region and  
 846 converges to the free-stream value at higher levels ( $z \sim 100$  mm), while the phase of the  
 847 first-harmonic velocity increases from zero towards the bottom, leading to a  $10\text{-}15^\circ$  phase  
 848 lead in the very near-bottom region. The amplitude of the first-harmonic concentration  
 849 decreases rapidly with elevation  $z$ , as does its phase, e.g. the phase variation exceeds  $100^\circ$   
 850 across a depth of 100 mm. As a result, the phase difference between first-harmonic veloc-  
 851 ity and concentration changes dramatically from almost  $180^\circ$  in the immediate vicinity

852 of the bottom to less than  $90^\circ$  at  $z=100$  mm. The predicted net sediment flux shown in  
853 Figure 10e is therefore negative (downslope) in the very near-bottom region and decays  
854 drastically with elevation, leading to a net downslope suspended-load transport rate. The  
855 region with a significant net sediment flux is within  $z=10$  mm for the medium-sand test,  
856 but can extend to about  $z=40$  mm for the fine-sand test. Thus, the magnitude of net  
857 suspended sediment flux for the fine-sand test is much larger than that for the medium-  
858 sand test, so a much larger net downslope suspended-load transport is expected for the  
859 fine-sand test.

### 5.5. Model validation

860 Although our model can predict first-harmonic velocity and concentration, we shall  
861 not benchmark these predictions with experimental data, mainly because our model is  
862 only conceptual in the close vicinity of the movable bed, where most of the sediment  
863 transport occurs. The lack of suitable experimental data is another reason, e.g. the first-  
864 harmonic concentration is so small relative to the total concentration that it would be  
865 very difficult to make quantitative comparison with existing experimental data from other  
866 sources. Thus, in this section we only validate the prediction of net sediment transport  
867 rate against our measurements.

868 Since the predicted effect of bottom slope on instantaneous bedload transport rate is a  
869 factor of  $1 - \beta / \tan \phi_m$  ( $\beta > 0$  for upslope) for a small bottom slope  $\beta$ , i.e. equation (28), a  
870 net downslope bedload transport rate scaled with  $\beta$  is expected for a sinusoidal flow over  
871 a sloping movable bed. Both the prediction of first-harmonic velocity and the normalized  
872 first-harmonic concentration are not functions of  $\beta$ , so the net suspended-load transport  
873 rate should be scaled by the amplitude of the first-harmonic reference concentration,

874 which is also proportional to  $\beta$ , i.e. equation (39). These expectations are confirmed by  
 875 predictions, so we can write the predicted non-dimensional total net transport rate as

$$876 \quad q_{net,p} = -(\bar{q}_{sB} + \bar{q}_{sS}) = (A_b + A_s)\beta = A_p \cdot \beta \quad (81)$$

877 where  $A_p$  is the predicted slope, and  $A_b$  and  $A_s$  indicate the contribution from bedload  
 878 and suspended-load transports, respectively. Introducing the minus sign is to make the  
 879 predicted net transport rate positive in the downslope direction. The numerical values of  
 880  $A_p$  and the relative contributions from bedload and suspended-load,  $A_b/A_p$  and  $A_s/A_p$ ,  
 881 are shown in Table 7. The comparison between  $A_b/A_p$  and  $A_s/A_p$  suggests that bedload  
 882 transport dominates for the coarse-sand test, whereas suspended-load transport dominates  
 883 for fine-sand tests. Since the upward diffusion of sediments is characterized by the shear  
 884 velocity  $u_{*D}$  and the tendency of sediment settling is characterized by the fall velocity  $w_f$ ,  
 885 the significance of suspended-load transport should increase with the ratio  $u_{*D}/w_f$ . The  
 886 results in Table 7 show that the relative importance of suspended-load over bedload indeed  
 887 increases with  $u_{*D}/w_f$ . The predicted suspended-load contributes more than 50% of the  
 888 total transport for  $u_{*D}/w_f$  between 2.3 and 2.8 (the values for M1 and M2), which is in  
 889 agreement with the threshold value for bedload to be dominant,  $u_{*D}/w_f < 2.7$ , proposed  
 890 by *Gonzalez-Rodriguez and Madsen* [2007]. Our predictions for C1 and M1 seem to suggest  
 891 that the relative importance of suspended load transport ( $A_s/A_p$ ) dramatically increases  
 892 for  $u_{*D}/w_f$  in the interval 2.1 to 2.3, as shown in Table 7. It should be noted that the  
 893 net suspended transport rate is also controlled by other parameters, e.g. the skin friction  
 894 Shields parameter (determines the reference concentration) and wave period (related to  
 895 wave boundary layer thickness), so we cannot use  $u_{*D}/w_f$  as the sole indicator of the  
 896 relative importance of suspended-load and bedload transports.

997 We showed a good linear  $q_{net} - \beta$  dependency for measurements (Figure 5), so the model  
998 validation can be presented in terms of the slope  $A$ , i.e.  $A_p$  vs.  $A_m$ . As shown in Table 7,  
999  $A_p$  is larger than  $A_m$  by roughly 60% for the bedload-dominated tests, e.g. C1, but is  
900 smaller than  $A_m$  by roughly 30% for the suspended-load-dominated tests, e.g. F1 and F2,  
901 indicating that the our model probably overestimates the net bedload transport rate, but  
902 underestimates the net suspended-load transport rate. The eddy diffusion for predicting  
903 sediment suspension is scaled by the maximum shear velocity, and it increases linearly  
904 with the distance from the bottom, while turbulence should vanish outside the wave  
905 boundary layer. Therefore, the eddy diffusion and hence the net suspended transport rate  
906 should be overestimated, which contradicts our results. *Yuan and Madsen* [2014] showed  
907 that for oscillatory flows in the WCS a secondary mean flow in the transverse plane  
908 is developed by sidewall effects, which can possibly enhance sediment suspension and  
909 increase the measured net suspended sediment transport rate. Visual evidence suggests  
910 that for fine-sand experiments sediments can be suspended outside the wave boundary  
911 layer, where no turbulence is expected to sustain suspension. It should also be pointed  
912 out that the vertical structure of the turbulent eddy viscosity and diffusivity will influence  
913 the prediction of the first-harmonic phase for both velocity and concentration, i.e.  $\varphi_{u1}$   
914 and  $\varphi_{c1}$  in equation (42), which may have a significant effect on the prediction of net  
915 suspended-load transport rate. Nevertheless, the overall model accuracy is better than a  
916 factor of 2, and the overall agreement represented by the slope of the least-square fit to the  
917 data on  $A_p$  versus  $A_m$  plotted in Figure 11a is 1.04, suggesting a bias of a mere 4%, with a  
918 quite modest 95% confidence interval of  $\pm 0.35$ . While this indicates the overall accuracy,  
919 it is more informative to look at the actual prediction of  $q_{net}$ . Figure 11b compares the

920 predicted and the measured net sediment transport rates for all 30 tests in this study.  
921 Most of the predictions are within a factor of 2 from the measurements, and the overall  
922 agreement represented by the slope of the least-square fit to the data (thin dashed line in  
923 Figure 11a) is a factor of 1.03 with a 95% confidence interval of  $\pm 0.12$ .

924 In the context of sediment transport modeling this performance, especially when con-  
925 sidering that our predictive model was developed without use of the data against which its  
926 predictions were validated, is very encouraging and indicates that the underlying physics  
927 for net bedload and suspended-load transport rates are reasonably well captured by our  
928 model.

## 6. Conclusions

929 A full-scale (1:1) experimental study of bottom-slope-induced net sheet-flow sediment  
930 transport rates under sinusoidal oscillatory flows is conducted using an oscillatory water  
931 tunnel. Tests cover three sand sizes, six flow-sediment conditions and five bottom slopes  
932 from  $0.1^\circ$  to  $2.6^\circ$ . A laser-based bottom profiler system is developed to measure the  
933 bottom profile change over the entire 9-m long test section, so the net transport rate can  
934 be estimated based on the principle of sediment-volume conservation. Special attention  
935 is paid on the effect of flow-induced bed compaction, which is mitigated by applying a  
936 correction of bottom elevation change estimated from the difference between the LBP-  
937 measured volume loss of sands in the test section and the collected sand volume outside  
938 the test section.

939 For most tests a scour pit develops near the upslope end of the test section, while the  
940 downslope end exhibits either a smaller scour pit or a deposition hump. Around the  
941 longitudinal center of the test section, the bottom profile remains flat for the fine-sand

942 tests, while for coarse-sand tests and some medium-sand tests long bedforms of very small  
943 steepness are observed to develop. These bedforms have little effect on the estimate of net  
944 transport rate, as evidenced by the fact that the net transport rate averaged over a few  
945 bedforms remains unchanged as the bedforms grow in height. The general discrepancy  
946 among repeated experiments is  $\Delta q_{s,net} \sim O(1 \cdot 10^{-6} \text{ m}^2/\text{s})$ , which is much smaller than the  
947 measured net transport rate for most tests. Therefore, we conclude that the measurements  
948 are highly repeatable. This  $\Delta q_{s,net}$ , however, does not account for potential error in net  
949 transport rate from lateral inhomogeneity. By estimating the net sediment transport rate  
950 based on single laser lines, it is demonstrated that the effect of lateral inhomogeneity leads  
951 to an experimental inaccuracy in net transport rate of the order 10%-20% or less. Thus,  
952 the lateral inhomogeneity is the main source of experimental inaccuracy. Nevertheless, in  
953 the context of sediment transport, even a 20% error is considered quite acceptable. The  
954 measured net transport rate is always in the downslope direction, except for some tests  
955 on virtually horizontal bottoms ( $\beta = 0.10^\circ$ ). For a given flow-sediment condition the net  
956 transport rate exhibits near-perfect linear dependency on bottom slope, which agrees with  
957 the expectation based on a simple Taylor-series approximation.

958 A conceptual model is developed to interpret the experimental results. The net bed-  
959 load sediment transport rate is obtained by period-averaging the instantaneous bedload  
960 transport rate predicted with the bedload transport model proposed by *Madsen* [1993],  
961 which conceptually accounts for the effect of bottom slope. The time series of the ef-  
962 fective bottom shear stress for bedload transport is taken as the sum of first and third  
963 harmonics, which gives a maximum instantaneous bottom shear stress obtained from the

964 wave boundary layer model by *Humbyrd* [2012] with the bottom roughness taken as the  
965 sediment diameter.

966 Assuming the near-bottom reference sediment concentration varies in concert with the  
967 instantaneous bedload transport rate in a quasi-steady manner, a non-zero bottom slope  
968 leads to a larger reference concentration when the flow is downslope than when it is  
969 upslope. This gives rise to a first-harmonic reference concentration, which is diffused  
970 upward into the water column. Based on Fourier-series representations, we identify that  
971 the net suspended-load transport rate is primarily due to the interaction between first-  
972 harmonic velocity and concentration. The analytical solution of the first-harmonic velocity  
973 is obtained following *Grant and Madsen* [1979]. The first-harmonic concentration is given  
974 by solving analytically the first-harmonic one-dimensional advection-diffusion equation  
975 with a first-harmonic reference concentration as the bottom boundary condition and an  
976 eddy diffusivity that is consistent with the eddy viscosity formulation used by *Grant*  
977 *and Madsen* [1979]. This reference concentration is obtained following *Zyserman and*  
978 *Fredsøe* [1994] with a modification based on a steady-unsteady-flow analogy to ensure  
979 model consistency. Also for consistency reason, our model parameters, e.g. the various  
980 bottom roughness specifications, are chosen corresponding to those employed in ZF94.

981 The predicted net bedload and suspended-load transport rates both increase linearly  
982 with bottom slope for a given flow-sediment condition. Comparing the predicted and  
983 measured gradients for the linear relationship between total transport rate and bottom  
984 slope, it is shown that the model predictions are equal to measurements within a factor  
985 of 2. The acceptable model accuracy allows us to interpret the key physics for the net  
986 downslope transport rate as follows. The bottom-parallel component of gravity helps the



987 bottom shear stress to mobilize sand grains in the downslope direction, but hinders mobi-  
988 lization in the upslope direction. Thus, more sand grains are moving as bedload transport  
989 when the flow is in the downslope direction, leading to a net downslope bedload transport  
990 rate. The quantity of bottom sands available for suspension, which determines the refer-  
991 ence concentration, is scaled by the amount of sand moving as bedload. Thus, a higher  
992 instantaneous reference concentration during downslope than during upslope transport is  
993 expected. This asymmetry can be represented by a first-harmonic reference concentration.  
994 In the very near-bottom region, the first-harmonic velocity and concentration are roughly  
995  $180^\circ$  out of phase, leading to a net downslope suspended-load transport rate. Our pre-  
996 diction suggests that the relative importance of bedload and suspended-load transports  
997 depends on sediment diameter and flow condition. This simple model is a first attempt  
998 to quantitatively interpret slope-induced net sediment transport in the sheet-flow regime  
999 under oscillatory flows, so improvements to our predictive conceptual model should be  
1000 explored in the future, e.g. adopting more realistic turbulent diffusivities including re-  
1001 moval of the present model's inconsistent use of different turbulent eddy diffusivities for  
1002 momentum and sediment.

1003 The net total transport rate for tests on the  $2.60^\circ$  slope is comparable to the net trans-  
1004 port rates due to flow skewness obtained in similar OWT sheet-flow studies. This suggests  
1005 that bottom slope can be of equal importance to wave nonlinearity in producing a net  
1006 sediment transport rate, and should be incorporated in modeling net cross-shore sedi-  
1007 ment transport rates. Future research effort is required to quantitatively elaborate the  
1008 importance of bottom-slope effect.

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1018 included in tables, please contact the corresponding author (ceeyuan@nus.edu.sg).

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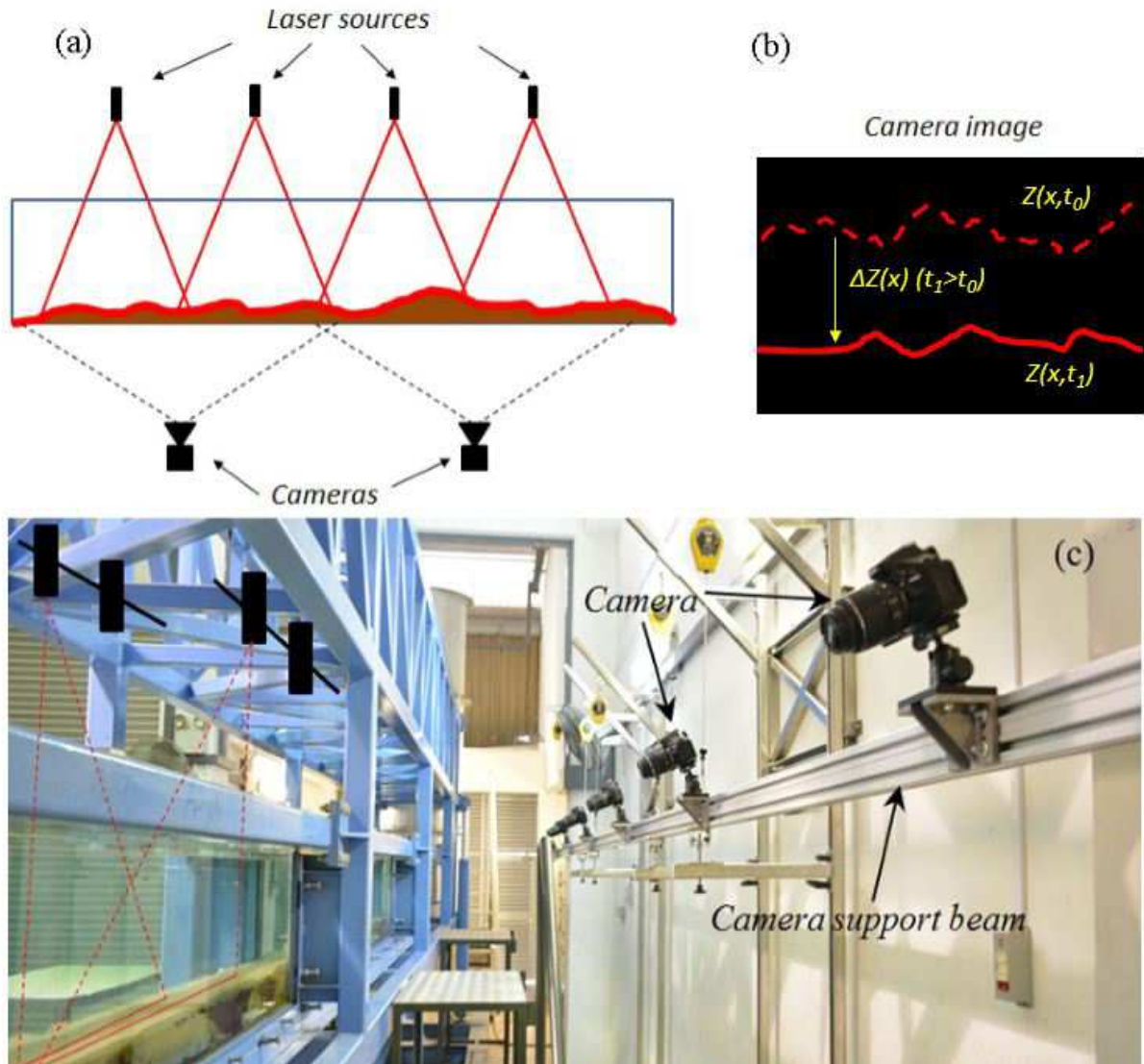
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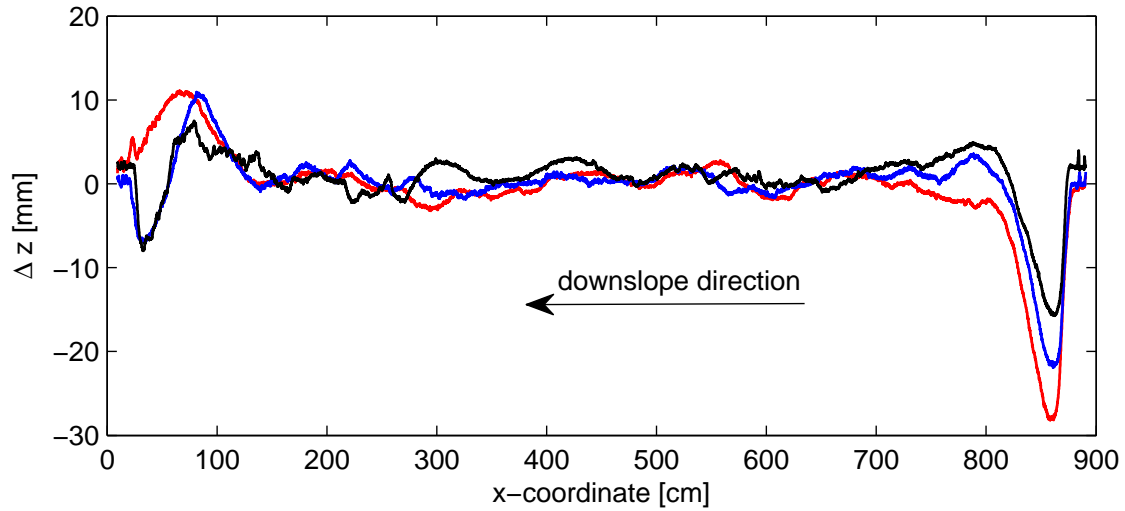
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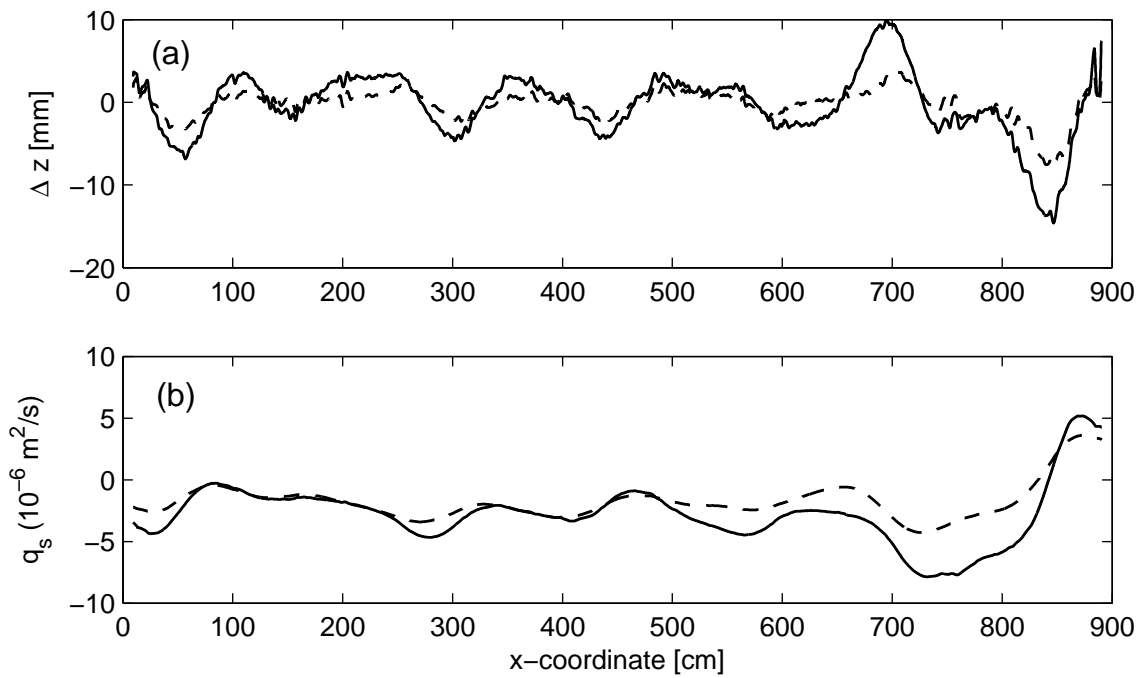


**Figure 1.** The Laser-based Bottom Profiler (LBP) system: (a) general concept of LBP, (b) illustration of the vertical movement of a laser line on a camera image, (c) system setup (the test section of WCS is 10m-long, 40cm-wide and 50cm-deep).

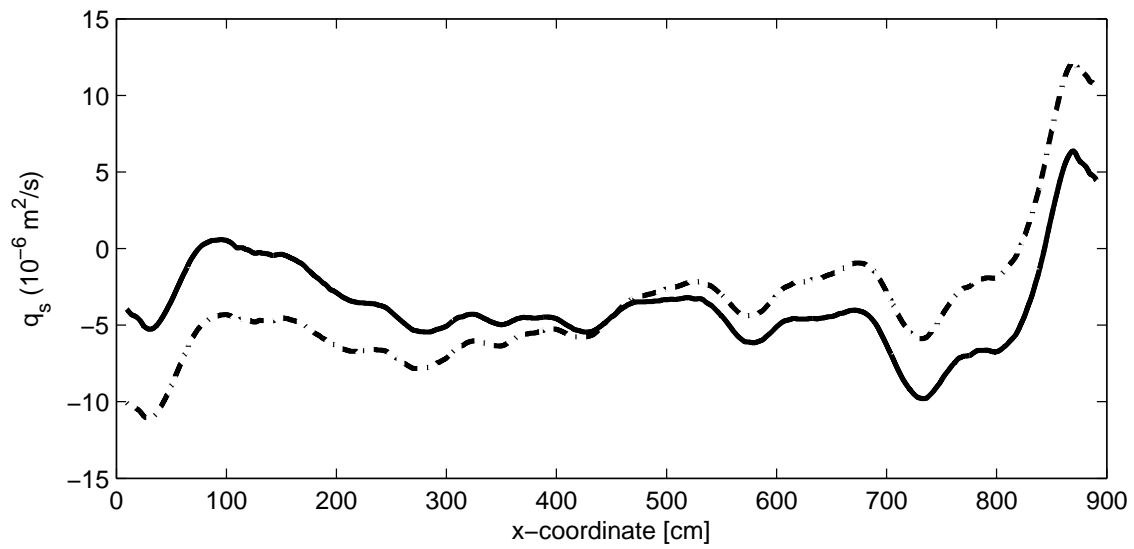




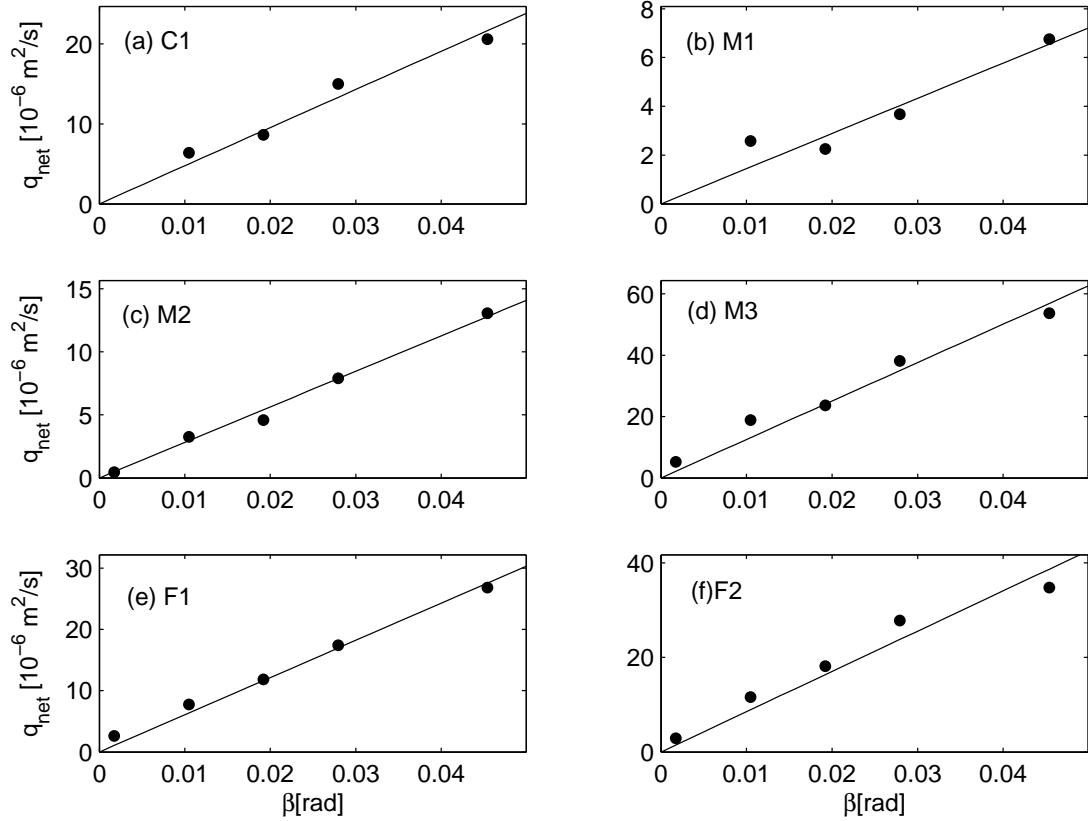
**Figure 2.** Typical observations of bottom profile change  $\Delta z$  after one test (red line: F1\_S26, blue line: test F1\_S11, black line: test F1\_S01)



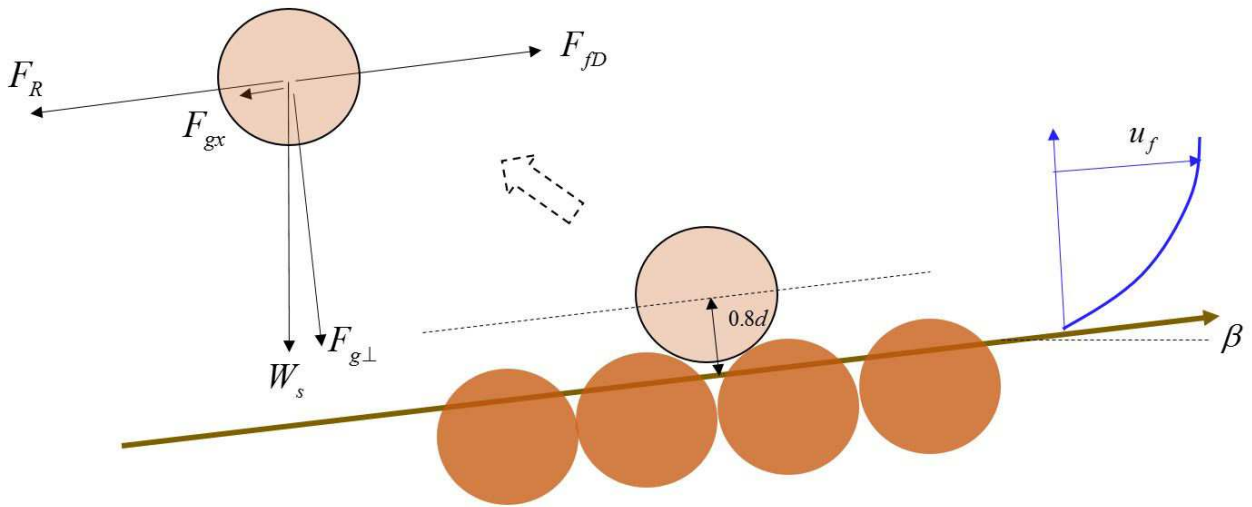
**Figure 3.** Development of bedforms for a medium-sand test M2\_S06 and the associated effect on net transport rate (full lines: after 50 periods, dashed lines: after 25 periods): (a) bottom elevation change  $\approx$  bottom profile, (b) variation of net transport rate along the test section



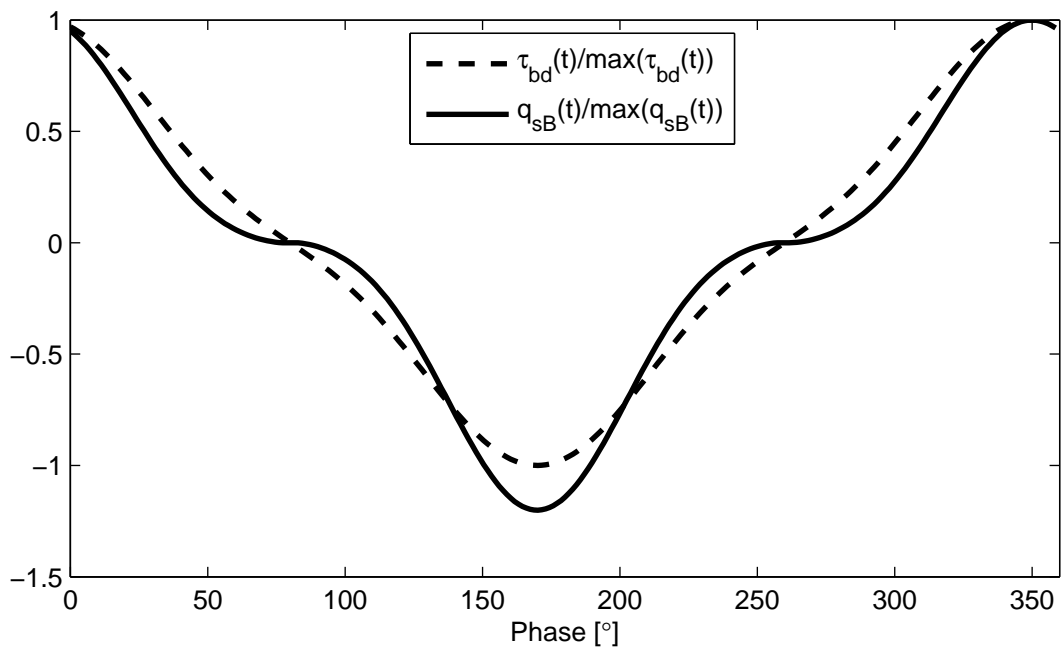
**Figure 4.** Experimental obtained variation of net transport rate  $q_s$  over the entire test section for a typical test M2\_S11 (solid line: bed-compaction correction, dash-dotted line: simple-average correction).



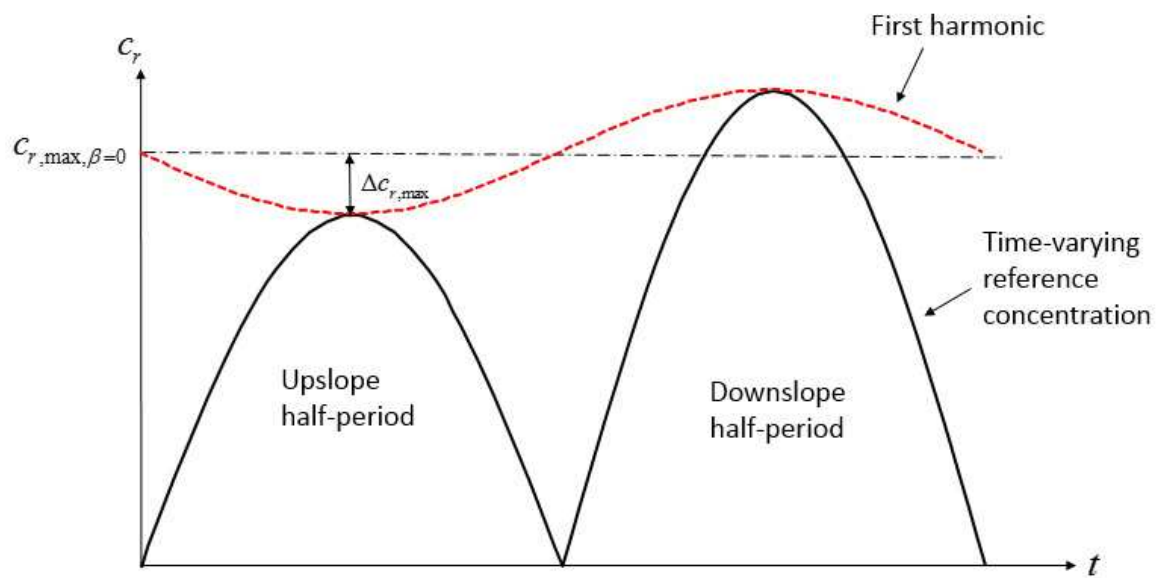
**Figure 5.** Variation of net transport rate with bottom slope for a given flow-sediment condition. For C1 and M1, measured  $q_{net}$  for  $0.1^\circ$ -tests (or  $0.0017$  [rad]) are negative (up-slope net transport rate) but negligibly small (comparable to measurement accuracy), so the results are not shown. (solid lines: fitted linear function  $q_{net} = A\beta$  (details are presented in Table4), full circles: measurements).



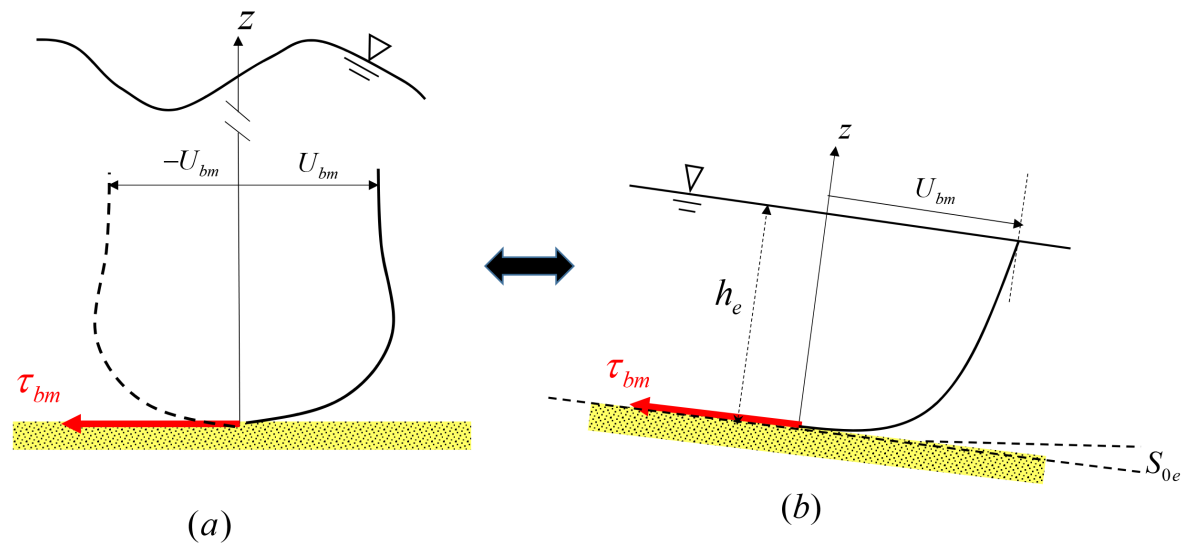
**Figure 6.** Forces acting on a spherical sediment grain resting or moving on a plane bed



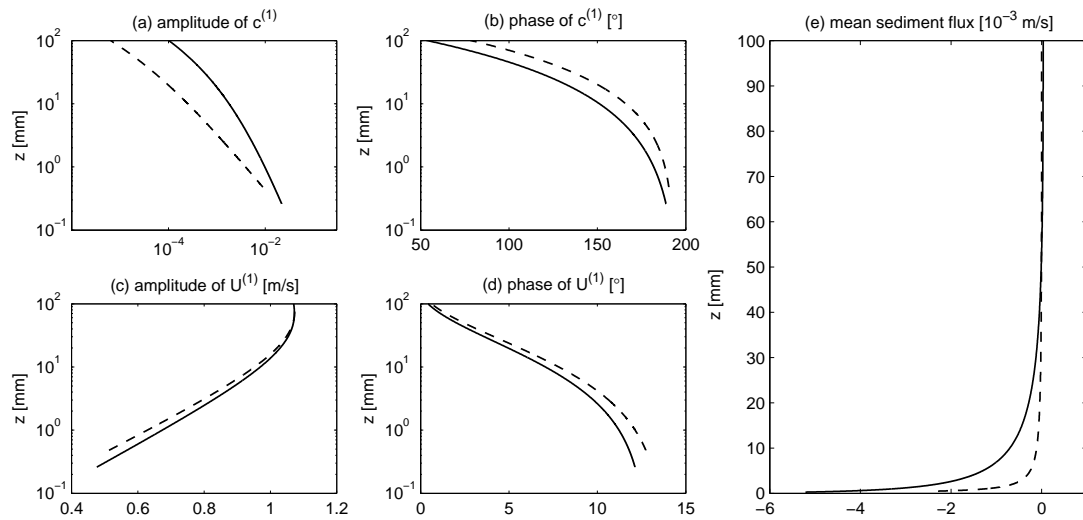
**Figure 7.** Normalized effective bottom shear stress (dashed line) and instantaneous bedload transport rate (solid line) for test M3\_S26 (the maximum effective bottom shear stress is 7.7 pa, and maximum upslope bedload transport rate is  $5.1 \cdot 10^{-4} m^2/s$ . The negative direction is downslope).



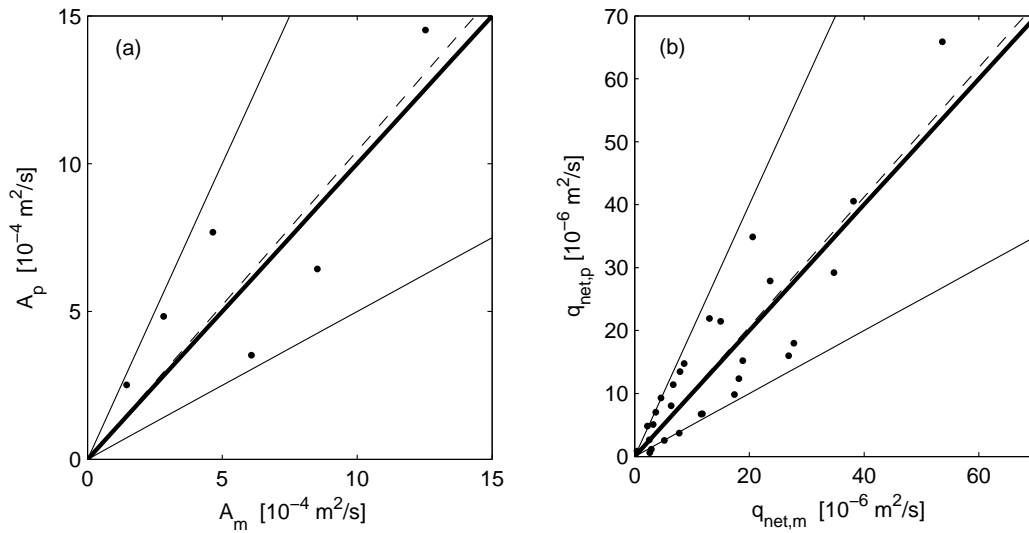
**Figure 8.** Illustrative drawing of the temporal variation of reference concentration under the influence of bottom slope



**Figure 9.** Illustrative drawing for the steady-flow analogy: (a) wave boundary layer flow at maximum flow condition, (b) equivalent steady flow



**Figure 10.** Prediction of first-harmonic velocity and concentration for tests M1\_S26 (dashed lines) and F2\_S26 (solid lines): (a) amplitude of first-harmonic concentration, (b) phase of first-harmonic concentration, (c) amplitude of first-harmonic velocity, (d) phase of first-harmonic velocity, (e) net Sediment flux (the negative direction is downslope).



**Figure 11.** Model validation: (a) comparison of predicted ( $A_p$ ) and measured ( $A_m$ ) slopes for the linear relationship between net sediment transport rate and bottom slope for the different flow-sediment conditions (the heavy solid line indicates perfect agreement; the thin solid lines indicate a factor of 2 deviation from perfect agreement; the dashed line is the best fit through origin (slope is 1.04 with a 95% confidence interval of 0.35)).(b) comparison of predicted ( $q_{net,p}$ ) and measured ( $q_{net,m}$ ) net sediment transport rate (the solid lines are as in (a); the dashed line is the best fit through origin (slope is 1.03 with a 95% confidence interval of 0.12))



**Table 1.** Sediment characteristics. <sup>a</sup>

Type	$d_{50}$ (mm)	$\sigma_g$	$s$	$\epsilon_m$
Fine sand	0.13	1.38	$2.650 \pm 0.004$	$0.436 \pm 0.0004$
Medium sand	0.24	1.37	$2.651 \pm 0.003$	$0.424 \pm 0.0011$
Coarse sand	0.51	1.43	$2.628 \pm 0.013$	$0.482 \pm 0.0023$

<sup>a</sup>  $d_{50}$  is the medium diameter of sediments,  $\sigma_g$  is the geometric standard deviation,  $s$  is specific particle density and  $\epsilon_m$  is the maximum underwater porosity.

**Table 2.** Summary of tests. <sup>a</sup>

Test ID	$U_{bm}$ (m/s)	$T$ (s)	$d_{50}$ (mm)	$\psi_{wmd}$	slope( $^\circ$ )	Repeats	$q_{s,net}$ ( $10^{-6}\text{m}^2/\text{s}$ )	$\Delta q_{s,net}$ ( $10^{-6}\text{m}^2/\text{s}$ )
C1_S01	1.61	6.25	0.51	1.13	0.1	1	1.1	
C1_S06	1.61	6.25	0.51	1.13	0.6	2	-6.4	0.3
C1_S11	1.61	6.25	0.51	1.13	1.1	1	-8.6	
C1_S16	1.61	6.25	0.51	1.13	1.6	1	-15.0	
C1_S26	1.61	6.25	0.51	1.13	2.6	2	-20.6	0.2
M1_S01	1.06	8.33	0.24	0.89	0.1	1	0.0	
M1_S06	1.06	8.33	0.24	0.89	0.6	1	-2.6	
M1_S11	1.06	8.33	0.24	0.89	1.1	1	-2.3	
M1_S16	1.06	8.33	0.24	0.89	1.6	1	-3.7	
M1_S26	1.06	8.33	0.24	0.89	2.6	1	-6.8	
M2_S01	1.21	6.25	0.24	1.20	0.1	2	-0.5	0.3
M2_S06	1.21	6.25	0.24	1.20	0.6	2	-3.3	0.5
M2_S11	1.21	6.25	0.24	1.20	1.1	1	-4.6	
M2_S16	1.21	6.25	0.24	1.20	1.6	1	-7.9	
M2_S26	1.21	6.25	0.24	1.20	2.6	4	-13.1	0.6
M3_S01	1.61	6.25	0.24	2.00	0.1	1	-5.2	
M3_S06	1.61	6.25	0.24	2.00	0.6	1	-18.9	
M3_S11	1.61	6.25	0.24	2.00	1.1	1	-23.6	
M3_S16	1.61	6.25	0.24	2.00	1.6	1	-38.2	
M3_S26	1.61	6.25	0.24	2.00	2.6	1	-53.7	
F1_S01	0.90	4.17	0.13	1.27	0.1	1	-2.6	
F1_S06	0.90	4.17	0.13	1.27	0.6	2	-7.8	0.8
F1_S11	0.90	4.17	0.13	1.27	1.1	1	-11.8	
F1_S16	0.90	4.17	0.13	1.27	1.6	2	-17.4	0.2
F1_S26	0.90	4.17	0.13	1.27	2.6	1	-26.8	
F2_S01	1.06	8.33	0.13	1.43	0.1	2	-2.9	1.3
F2_S06	1.06	8.33	0.13	1.43	0.6	1	-11.6	
F2_S11	1.06	8.33	0.13	1.43	1.1	1	-18.2	
F2_S16	1.06	8.33	0.13	1.43	1.6	2	-27.8	2.6
F2_S26	1.06	8.33	0.13	1.43	2.6	2	-34.7	3.4

<sup>a</sup>  $U_{bm}$  and  $T$  are the amplitude and period of free-stream velocity, respectively,  $\psi_{wmd}$  is the Shields parameter based on  $k_N = d_{50}$ ,  $d_{50}$  is the median sediment diameter,  $q_{s,net}$  is the compaction-corrected experimental net transport rates (positive in the upslope direction) and  $\Delta q_{s,net}$  is half the difference between two repeats (or the standard deviation for more than two repeats).

**Table 3.** Comparisons between tests with or without re-working the initial movable bed.

	$\delta z$ (mm)		$q_{s,net}$ ( $10^{-6}$ m <sup>2</sup> /s)	
	1st test	2nd test	1st test	2nd test
M2_S01	0.255	0.125	-0.12	-0.79
M2_S06	0.147	0.090	-2.78	-3.72
M2_S26	0.152	0.048	-12.80	-13.10

**Table 4.** Results for linear-function fitting of  $q_{net} = A \cdot \beta$ , as shown in Figure5. <sup>a</sup>

Test	$U_{bm}$ (m/s)	$T$ (s)	$d_{50}$ (mm)	$A(\pm\%)$ ( $10^{-4}$ m <sup>2</sup> /s)	$R^2$
C1	1.61	6.25	0.51	4.65 (14%)	0.97
M1	1.06	8.33	0.24	1.45 (22%)	0.93
M2	1.21	6.25	0.24	2.83 (8%)	0.99
M3	1.61	6.25	0.24	12.53 (15%)	0.95
F1	0.90	4.17	0.13	6.08 (9%)	0.98
F2	1.61	8.33	0.13	8.52 (18%)	0.93

<sup>a</sup>  $U_{bm}$  and  $T$  are the amplitude and period of free-stream velocity, respectively,  $d_{50}$  is the sediment diameter,  $A$  is the fitted slope (the percentage in the following bracket indicates the relative 95% confidence limits).  $R^2$  is the coefficient of determination.

**Table 5.** Effect of lateral inhomogeneity on the slope of linear relationship,  $q_{net} = A \cdot \beta$ , between net transport rate and bottom slope for a given flow sediment condition (the percentage in the brackets indicate relative 95% confidence limits).<sup>a</sup>

	C1	M1	M2	M3	F1	F2
$A_1$ [ $10^{-4}m^2/s$ ]	4.23 (17%)	1.60 (53%)	3.38 (14%)	13.88 (29%)	6.20 (8%)	8.33 (9%)
$A_2$ [ $10^{-4}m^2/s$ ]	5.20 (23%)	1.29 (23%)	2.33 (23%)	11.16 (32%)	5.95 (11%)	8.73 (12%)
$A$ [ $10^{-4}m^2/s$ ]	4.65 (14%)	1.45 (22%)	2.83 (8%)	12.53 (15%)	6.08 (9%)	8.52 (18%)
$ A_1 - A_2 /(2A)$ [%]	10.4	10.8	18.6	10.9	2.0	2.3

<sup>a</sup>  $A$  is the slope for the net transport rate based on the averaged bottom profile change  $\Delta z$ , while  $A_1$  and  $A_2$  are slopes for the net transport rate based on single laser lines.  $|A_1 - A_2|/(2A)$  indicates the deviation of  $A_1$  and  $A_2$  from  $A$ .

**Table 6.** Modification of ZF94 reference concentration based on steady-flow analogy.<sup>a</sup>

ID	Wave boundary layer			Equivalent steady flow			Transport rate			
	$u_{*m}$ [cm/s]	$\frac{k_m}{d_{50}}$	$u'_{*m}$ [cm/s]	$h_e$ [cm]	$S_{0e}$ [ $10^{-2}$ ]	$u'_{*m}$ [cm/s]	$q_{B,ZF}$ [ $10^{-5}m^2/s$ ]	$\alpha_1$	$\alpha_2$	$\gamma$
C1	13.9	12.0	10.9	2.12	9.2	11.1	25	1.8	2.2	0.18
M1	7.8	8.6	6.6	1.51	4.1	6.7	6.9	1.2	2.4	0.50
M2	9.7	12.4	7.7	1.44	6.6	7.8	8.3	2.0	4.4	0.55
M3	13.6	23.0	9.9	2.07	9.1	10.1	11	4.0	12.5	0.68
F1	7.4	13.2	5.8	0.74	7.6	5.9	3.3	2.1	10.4	0.80
F2	7.7	14.1	6.1	1.48	4.1	6.2	3.5	2.6	19.0	0.87

<sup>a</sup> see section 5.3 for definition of variables

**Table 7.** Model validation in terms of the slope  $A$  in  $q_{net} = A \cdot \beta$ .

ID	$U_{bm}$ (m/s)	$T$ (s)	$u_{*D}/w_f$	$A_m$	$A_p$	$A_b/A_p$	$A_s/A_p$
C1	1.61	6.25	2.1	4.65	7.68	82.0%	18.0%
M1	1.06	8.33	2.3	1.45	2.51	54.8%	45.2%
M2	1.21	6.25	2.8	2.83	4.83	46.1%	53.9%
M3	1.61	6.25	3.9	12.53	14.52	33.8%	66.2%
F1	0.90	4.17	4.7	6.08	3.52	26.4%	73.6%
F2	1.06	8.33	4.9	8.52	6.44	17.5%	82.5%

<sup>a</sup> the fall velocity  $w_f$  is predicted using *Rubey* [1933]'s formula.  $A_m$  and  $A_p$  are measurement and prediction, respectively.  $A_b$  and  $A_s$  are predictions for net bedload and suspended-load transport rates, respectively.